Ph.D. Program in
Urban Studies and Public Affairs
Student Handbook
# Table of Contents

Ph.D. Mission and Goals ............................................................................................................ 1
Career Opportunities .................................................................................................................. 1
Ph.D. Program of Study ............................................................................................................. 1
  Coursework ............................................................................................................................... 2
  Course Schedule Rotation ......................................................................................................... 2
Specialization Courses ............................................................................................................. 2
Prerequisite Courses ............................................................................................................... 2
Core Courses ............................................................................................................................ 3
Specialization Courses ............................................................................................................. 4
Elective Coursework ................................................................................................................ 5
Policy on Directed Readings .................................................................................................... 5
Credit for Courses Taken at the Master’s Level ....................................................................... 6
Transfer Credits ....................................................................................................................... 6
Research and Dissertation Credit Hours .................................................................................. 7
Application and Admission ..................................................................................................... 7
Readmission to the Ph.D. Program ........................................................................................... 8
Advising and Registration ......................................................................................................... 9
Registration ............................................................................................................................... 10
Financial Assistance ................................................................................................................ 11
Academic Standards ................................................................................................................ 11
Registration ............................................................................................................................... 12
Comprehensive Examination ................................................................................................... 12
Dissertation and Prospectus ...................................................................................................... 16
Quality and Fairness in the Dissertation Process ..................................................................... 18
Prospectus Guidelines ............................................................................................................. 19
Dissertation Submission and Requirements for Graduation ...................................................... 21
Email and Technology Services ............................................................................................... 22
  Levin College System ............................................................................................................ 22
Participating Faculty ................................................................................................................. 23
**PH.D. MISSION AND GOALS**
The mission of the program is to prepare scholars for research, teaching, and reflective practice in positions related to urban studies and public affairs, in universities or public-policy organizations.

The goals of the program are:

- To graduate scholars who possess a strong methodological foundation within the field of urban studies and public affairs together with an in-depth knowledge that enables them to recognize, identify, and articulate the frontiers of scholarship in a specialization.

- To graduate scholars able to construct, execute, and present scholastically sound, independent research of either a theoretical or applied nature that expands the frontiers of knowledge within the field of urban studies and public affairs.

For updated Ph.D. program information visit [https://www.csuohio.edu/urban/phd/phd](https://www.csuohio.edu/urban/phd/phd).

**CAREER OPPORTUNITIES**
Individuals receiving a Ph.D. in interdisciplinary urban affairs programs will find career opportunities in the traditional academic market, and in the government, private, and nonprofit sectors. Academic opportunities exist in many disciplines, including urban studies, public administration, economics, political science, planning, and environmental studies. Graduates will find additional applied research opportunities in the government and nonprofit sectors.

**PH.D. PROGRAM OF STUDY**
The Ph.D. program of study consists of:
- Coursework
- Comprehensive Exams
- Prospectus and Dissertation
**Coursework**
The Ph.D. program coursework consists of required core courses, an area of concentration, and electives. Doctoral students admitted with an earned master’s degree must complete a minimum of 66 credits, while admitted doctoral students without an earned master’s degree must complete a minimum of 90 credits.

*A minimum grade of B (3.0) is required in all core courses*; a grade below B requires repeating the course, with instructor permission. In concentration and elective courses, no more than eight credit hours of coursework graded below B (3.0) may be applied to the degree.

800-level Ph.D. specialization courses follow a specific rotation; as shown below. This rotation allows full time students with all prerequisites completed to finish their coursework in two years.

**Core Courses:**
- UST 800: Urban Theory  
  - Fall odd years
- UST 802: Frameworks of Inquiry  
  - Fall even years
- UST 803: Quantitative Research Methods  
  - Spring odd years
- UST 806: Urban Research Methods  
  - Spring even years

**Specialization Courses**
- UST 810: Urban Policy and Development Seminar
- UST 831: Political Philosophy & Public Administration
- UST 830: Public Administration Seminar

**Prerequisite Courses**
Depending on previous graduate course work, Ph.D. students must complete some or all of the following master’s level prerequisite courses before enrolling in core or specialization courses. Those entering with a bachelor’s degree must complete all prerequisites. The final decision regarding the enforcement of prerequisite requirements rests with the Program Director. The Program Director normally defers to the student’s faculty advisor in the specialization area if and when the advisor recommends waiving one or more prerequisites.
For specific course descriptions, please refer to the CSU Graduate Bulletin. Students must earn a grade point average of 3.00 or better in the following prerequisite courses:

1) UST 600: Foundations in Public Administration (Public Administration Track students) or UST 606: Evolution of Human Settlements (Urban Policy and Development Track students)
2) UST 601: Applied Quantitative Reasoning I
3) UST 603: Public Sector Economics
4) UST 605: Regional Theory & Analysis
5) UST 616: Systems and Processes of Policy Development or PSC 636: Policy Development and Evaluation

**CORE COURSES**
Each student is required to complete a common core of four courses (16 credit hours) **with a grade of B or better in each course**. The core courses are:

**UST 800: Urban Theory**
This course is intended to acquaint students with the approaches used by the social sciences in examining urban problems. Urban theories and spatial practices are addressed, and students compare theoretical positions among conceptual approaches.

**UST 802: Frameworks of Inquiry**
This seminar explores the ontological, epistemological, and value dimensions of major frameworks of inquiry in social science, and the methodologies, methods, techniques, and standards of quality for each framework. Major debates in social science scholarship are addressed.

**UST 803: Quantitative Research Methods I**
This course examines statistical models designed to assess a single outcome or criterion variable: bivariate and multiple regression; N-Way and factorial ANOVA; repeated measures ANOVA; and analysis of covariance of the General Linear Model. The course examines the analysis and interpretation of a variety of data sets using each of these procedures.
**UST 806: Urban Research Methods**
This course provides a basic understanding of methods for designing and conducting empirical social science research. The process of social science research, the conduct of the enterprise, obstacles to empirical research, analysis and interpretation of data, and ethical issues in social science research are addressed. Students will write, present, and defend an academically acceptable research design proposal.

**SPECIALIZATION COURSES**
Students must complete 20 credit hours in a specialization area. For detailed specialization curriculum requirements, please refer to the curriculum sheets available online or from the Levin Graduate Advisor. Available specializations are:

- Public Administration
- Urban Policy and Development

Students are also permitted to develop their own area of specialization with guidance and approval of the Ph.D. Program Director and a three-member faculty advisory committee. Courses in the approved area of specialization are chosen in consultation with the student’s faculty advisor or advisory committee. The committee is composed of faculty from Cleveland State University and/or Chung-Ang University.
**Elective Coursework**
Students complete 3 elective courses (12 credit hours). Electives are designed to enhance or augment students’ understanding of a field of study, and to aid in the development of a dissertation topic. Students choose electives in consultation with their faculty advisor. The following lists offer suggestions for each specialization area, however it is expected that students will also take courses in accordance with advice from their academic advisor that are not on these lists, within and outside the College of Urban Affairs.

**All Specializations**

**UST 801:** Urban Theory II  
**UST 803:** Quantitative Methods I  
**UST 804:** Quantitative Research Methods II  
**UST 805:** Qualitative Research Methods in Urban Studies  
**UST 897:** Readings in Urban Studies

**Urban Policy and Development**

**UST 710:** Urban Development Process/Market Analysis  
**UST 720:** Economic Development: Plans and Strategies  
**UST 721:** Local Labor Market Analysis  
**UST 726:** Workforce Development  
**UST 753:** Environmental Planning II  
**UST 762:** Urban Housing Policy  
**UST 664:** Neighborhood Development

**Public Administration**

**UST 805:** Qualitative Research Methods in Urban Studies  
**UST 893:** Special Topics in Urban Studies

**Policy on Directed Readings**
Ph.D. students are normally limited to eight (8) credit hours of directed readings (UST 897). However, there may be situations in which eight hours is unduly restrictive; for example, in a case where a student wishes to build an area of specialization that differs from the formal areas offered.
CREDIT FOR COURSES TAKEN AT THE MASTER’S LEVEL

Appropriate courses taken at the Master's level may be credited toward satisfaction of prerequisites for doctoral studies. It is the student's responsibility to provide appropriate documentation, including course syllabi and transcripts, to the Program Director when requesting a waiver of prerequisite courses.

Courses taken at the Masters level may be credited toward the doctoral requirements in accordance with the rules of the College of Graduate Studies, as stated in the Graduate Catalog:

*Cleveland State University students earning a doctoral degree should earn no more than one third of the credits toward that degree from their CSU Master's or J.D. degree. For example, in a sixty credit hour doctoral program, no more than 20 credits hours can be carried forward from the Master's or J.D. degree. The following conditions must be met:

- The department granting the second degree has determined the acceptability of the credits;
- The credits were earned with a grade of B or better in a Master's program or a grade in the Juris Doctor program that the department granting the Ph.D. has determined is equivalent to a “B” in a Master's program;
- The credits were earned within the six-year statute of limitations on course work applicable to fulfillment of graduate degree requirements at the time of graduation from the second degree program. Work over ten years old at the anticipated point of degree completion also must be reviewed and approved by the College of Graduate Studies Petitions Committee.

TRANSFER CREDITS

A student who has taken doctoral-level courses at another institution prior to being fully accepted into the Ph.D. Program must complete a petition requesting that the course or courses be accepted toward degree requirements. Consult the Graduate Catalog for details.
**RESEARCH AND DISSERTATION CREDIT HOURS**
Doctoral students must complete a combined minimum of 18 credit hours of UST 895: Doctoral Research, UST 896: Prospectus, or UST 899: Dissertation. Once a student has successfully defended his/her prospectus and has registered for UST 899, s/he must maintain continuous enrollment of at least one credit hour of UST 899 each Fall and Spring semester until degree completion.

**APPLICATION AND ADMISSION**
The Ph.D. Program in Urban Studies and Public Affairs admits students for Fall Semester only. Students entering the program with a Master's degree are expected to have a grade point average of 3.50 or better in their graduate education. Both undergraduate and graduate grade point averages are considered in determining eligibility for admission. Bachelor entry-level students must have achieved at least a 3.5 grade-point average in their undergraduate work.

Applicants are expected to have earned a minimum 50th percentile in both the quantitative and verbal sections of the Graduate Record Examination (GRE), plus at least a 4.0 on the analytical writing section. In addition to the application requirements of the College of Graduate Studies, applicants must submit three letters of recommendation, a writing sample, preferably a recently graded research paper, resume, and a statement of purpose for seeking a Ph.D. in Urban Studies and Public Affairs. The application deadline is January 31. Submit all materials to Campus 411 All-In-One.

Non-native English speakers who do not have an earned bachelor’s degree or higher from an accredited U.S. institution must demonstrate proof of English-language proficiency. Applicants may do so by submitting Test of English as a Foreign Language (TOEFL) scores or by another of the methods listed in the CSU Graduate Catalog ([http://catalog.csuohio.edu/](http://catalog.csuohio.edu/)). International students should contact the International Student Office at 216-687-3910 for information on International admission requirements.

Applicants should send the following materials to this address: **Cleveland State University, 2121 Euclid Avenue, Graduate Admissions Processing, Cleveland, OH 44115-2214.**

- [http://www.csuohio.edu/graduate-admissions/how-apply](http://www.csuohio.edu/graduate-admissions/how-apply)
- Completed application form and application fee
- Official transcripts from all colleges and universities attended (transcripts...
must bear the school seal and be sent directly from the issuing institution/s to the Graduate Admissions Office)
- Official test results from the Graduate Record Examination (GRE), general portion only
- Three letters of recommendation (faculty preferred)
- A sample of your writing, preferably a recently completed research paper or a copy of a published article
- Resume
- A letter of intent that includes a personal statement of goals and indicates which specialization you plan to concentrate

**READMISSION TO THE PH. D. PROGRAM**
An academically dismissed student may re-apply to the Ph.D. program after twelve months have passed. In order to pursue readmission, students should follow these steps:

1. Work with a faculty advisor who supports the request for readmission to design an action plan for program completion.
2. Write a letter to the Graduate Program Committee requesting to be readmitted to the Ph.D. program. In this request, the student should clearly identify the specific deficiencies that led to dismissal and explain how they have been remedied.
3. Submit the petition to the Graduate Academic Advisor, who will circulate the petition to the Graduate Programs Committee for consideration.

If re-admitted, students will be subject to conditions for continuation in the Ph.D. program. At a minimum, the following University-wide conditions will apply:

An academically dismissed student who is readmitted to the same graduate program, or enters a different graduate program, will again be dismissed by the College of Graduate Studies if the individual receives in 400-800 level courses:

   a) one or more grades of “F” or b) two or more grades of “B-“ or less

A graduate student who receives a second academic dismissal from the University is not eligible for further graduate study at the University. Additional conditions may apply.
ADVISING AND REGISTRATION
The key to each student’s success in the Ph.D. program is close and continuous consultation with a member of the core faculty. This begins as soon as the student enters the program. The Ph.D. Program Director serves as the initial advisor to assist first year students in course selection, approve course registration, and review petitions.

Whenever possible, students are matched with faculty advisors within their intended specialization areas, based upon expressions of particular student interest and the need to balance advising load among the faculty. The advisor helps determine the student’s schedule of classes for each semester, answers general questions about the program, helps the student define a research orientation, and assists with research skill preparation. The advisor is the first point of contact for problems that may arise. S/he should be kept up to date when a student intends to make any decisions regarding the program and must be consulted before any program changes are made. In addition, the advisor serves as primary facilitator for the program’s evaluation of the student’s progress in the program. The advisor is the student’s advocate. The student should develop a professional relationship with him/her. It is to the student’s advantage to keep the advisor up to date on his/her progress and any special situations and circumstances that might arise. Depending on the student’s dissertation topic, it may be necessary to begin working with a different faculty advisor prior to the defense of a prospectus. After completion of coursework and comprehensive exams, the advisor serves as Chair of the student’s dissertation committee, and helps with formation of the committee.

Ph.D. students may not register for doctoral coursework without a faculty signature. In order to receive permission to register for doctoral classes, students should submit a completed, signed Change of Enrollment Form, (http://www.csuohio.edu/sites/default/files/media/registrar/documents/enrollment.pdf) to the Levin College Graduate Advisor (UR 205). Once permission is granted, students must register for all courses online in CampusNet.

In order to register for UST 895 or UST 899, students must complete the Levin College of Urban Affairs Independent Study Permission Form (https://www.csuohio.edu/urban/sites/csuoohio.edu.urban/files/media/students/documents/Indep_Study_Permission.pdf), obtain faculty approval, and submit it to the Graduate Advisor well before the beginning of the semester.
Registration
Registrar's Office website:  http://www.csuohio.edu/registrar/registrar
Adding, dropping, and withdrawing from classes are the sole responsibility of the student. Students should refer to Campus Net for specific deadlines. Questions may be directed to the Graduate Academic Advisor.

Late Add
Adding classes can be done online through CampusNet through the first week of the term. All subsequent adding must be done with the appropriate late add form and must have confirmation of payment from the Office of Treasury Services. Please follow all directions and deadlines stated on the appropriate late add form, which is available online at http://www.csuohio.edu/graduate-studies/current-students/graduate-students-downloadable-forms

Drop/Withdrawal
Dropping/Withdrawing from classes is done through CampusNet. Non-attendance does NOT constitute an official withdrawal from classes.

Consult the University Academic Calendar for specific add/drop deadline dates at http://www.csuohio.edu/enrollmentservices/registrar/calendar/

Students should refer to CampusNet for specific deadlines to add, drop, and/or withdraw. Students who wish to withdraw from a course after the deadline must file a petition with the College of Graduate Studies. Petition approval is not guaranteed. Petition forms are available in the College of Graduate Studies website at http://www.csuohio.edu/sites/csuo.edu.graduate-studies/files/petitionform.pdf Courses are refunded at 100% if cancelled by the university.

Note: The official date of withdrawal (the date a course is dropped) will be the basis for computing refunds. Failure to attend classes due to personal or employment reasons does not change the refund policy or schedule.

For details and the CSU refund schedule refer to https://www.csuohio.edu/treasury-services/refunds
Financial Assistance

Full-time students: In accordance with University regulations, to qualify for a full-time graduate assistantship, a student must be registered for a minimum of nine (9) graduate hours in the semester of the assistantship. The student is required to work 20 hours a week as a graduate assistant in research, teaching or on an alternative mutually agreed-upon assignment. Full-time assistantships are awarded on a competitive basis. Several assistantships per year are awarded to selected students with two- to four-year commitments subject to satisfactory performance in the Ph.D. program and the continuing financial health of the University.

To apply for a Graduate Assistantship in the College of Urban Affairs, students must complete the application online at https://www.csuohio.edu/urban/students/graduate-assistantships. Admission decisions for the Ph.D. program are typically made in February. As a result, we encourage students who wish to be considered for GA positions to submit their GA application prior to the March 1st priority deadline.

Part-time students: Students seeking financial assistance other than a graduate assistantship or tuition grant should contact the CSU Financial Aid Office: http://www.csuohio.edu/financial-aid/financial-aid-office or by calling 216-687-5411.

Academic Standards
All students must maintain an overall grade point average (GPA) of 3.00 to be considered in good academic standing. However, an academic review for probation or dismissal can occur for various reasons. Academic regulations are available in the Graduate Catalog (http://catalog.csuohio.edu/).
**COMPREHENSIVE EXAMINATION**

Upon completion of all coursework, doctoral students sit for a comprehensive examination in the area of specialization. A Ph.D. program faculty member from each specialization area shall serve as Exam Coordinator for the comprehensive examinations. The coordinator will serve for an entire academic year. The Coordinator shall oversee the preparation, administration and grading of the comprehensive examinations within the specialization.

Students planning to sit for the comprehensive examination should submit an application form to the Program Director at least **two months** prior to the expected exam date. The application is located at [https://www.csuohio.edu/urban/phd/phd](https://www.csuohio.edu/urban/phd/phd).

The examination must be taken within **one calendar year** of completing all coursework. It cannot be taken before all coursework is completed. Graduate assistants are permitted to register for up to twelve hours of preparation credits (UST 895) for one semester prior to the exam, but still must take the exam within one calendar year of completing their coursework. Failure to take the exam within one year of completing the required coursework constitutes a failure of the examination.

The comprehensive exam is primarily a field-of-specialization exam. It focuses upon (a) the corpus of knowledge in the fields of Public Administration or (b) in the fields relevant to the student’s proposed dissertation project (e.g., housing, community development, etc.) in the Urban Policy and Development specialization, as determined by the candidate and exam committee.

The comprehensive exam procedures are as follows.

1. Candidate proposes a committee of at least 3 members who are members of the graduate faculty, following the Graduate College’s graduate faculty status guidelines, and subject to the approval of the Program Director. The candidate then meets with the Exam Coordinator to define the major specialization area(s) for testing and research. Any unclear boundaries shall be clarified by a Plan of Work statement prepared by the candidate and distributed to all members of the Exam Committee. If without a Plan of Work statement the candidate and the Exam Committee are sufficiently clear on the boundaries of the exam, such is not required.
A Plan of Work statement includes the following components:

a. A list of courses the candidate has taken with brief summary of each course and list of any resources from those courses that relate to the fields of study relevant to the dissertation research project.

b. An annotated bibliography of other material from fields of study relevant to the upcoming dissertation research. This can include suggestions by the members of the exam committee, and/or be drawn from courses (see above), from directed readings, independent study, and student’s own research.

c. A statement outlining the proposed dissertation research area in broad terms and tying it to relevant field literature.

2. If a Plan of Work statement is required, when the Exam Coordinator determines that the candidate is ready, the candidate presents the Plan of Work to the Exam Committee at a meeting. The Exam Committee then continues meeting without the candidate. Members discuss the Plan of Work and the presentation and decide in broad terms which areas should be addressed to demonstrate the candidate’s knowledge of the relevant fields and to contribute to formulating the prospectus. Members then divide these areas among themselves for writing questions. Each member will write at least one question. If the committee feels the presentation was too weak to continue, then members specify what more is needed and the candidate is given the opportunity to present again. The candidate is informed of whether s/he passes Plan of Work. Once a student passes the Plan of Work s/he moves on to take the exam.

For all specializations, the comprehensive examination consists of two parts. The first part is a one-week-long take-home written exam, typically comprised of three-to-five questions as determined by the exam committee.

Exam Committee members write the questions and submit their questions to the Exam Coordinator. The Exam Coordinator compiles the questions and then gives the exam to the candidate on the agreed upon date. At least one of the questions will relate to each of the following: (a) the core courses (b) the broader specialization area, and (c) the proposed dissertation area.
After a week to respond, answers are returned to the Exam Coordinator who, in turn, passes them to the Exam Committee members for grading. Each question will be graded by each Exam Committee member. If a question is outside the areas of expertise of a committee member, the Exam Coordinator may excuse that member from that question (if requested).

Each answer receives a pass or fail grade by each Exam Committee member. To earn a passing grade on any given a question, it must be graded as a “pass” by at least two members of the Exam Committee. In the event of an evenly split disagreement, an additional reader from the CSU graduate faculty shall determine if the student passes or fails that question, as assigned by the Exam Coordinator.

Exam Committee members shall grade and return their evaluations with comments for those who rated a failure as quickly as possible. If an answer is rated a pass, no comments shall be provided. Feedback on performance shall be given to the students as soon as possible. The Exam Coordinator shall meet with those students who so desire after receiving comments.

The second part of the comprehensive exam is an oral examination, which is given for all students approximately three weeks after the take-home exam has been completed and graded. The committee for the oral examination will consist of the members of the Exam Committee who graded the student's written examination. The oral exam may be waived by a majority vote of the committee.

The oral exam is for the purpose of allowing the student to clarify, fortify, or otherwise build upon his or her answers to the questions from the written exam, and to clarify the scope and depth of the student's knowledge. It may cover a wide range of questions beyond those from the written portion of the exam. The oral exam is graded pass or fail by the Exam Committee. If the oral exam is graded as a pass or has been waived, the candidate is advanced to candidacy.

Grading decisions and assessments are provided by the Exam Coordinator to the Program Director. The Program Director informs the candidate of whether he/she is advanced to candidacy.

Students must pass all questions to pass the exam. In the case of disagreement about the passage or failure of any given answer among Exam Committee members, the majority of the committee shall be the determining factor.
If a student fails the examination, s/he **must** retake the exam within one academic year. A student who fails will be permitted to retake the examination only once. On the second examination, the student must pass all questions. Failure to retake the examination within one academic year without the explicit permission of the Program Director shall be deemed a Fail. A student who fails the retake is discontinued from the program.

Any student who appeals any issue related to the comprehensive examination shall have the right to appeal to the Doctoral Program Director. The Program Director shall take no part in the comprehensive examination process except for appeals. The decision of the Director on the appeal shall be the final decision of the Program.
**Dissertation and Prospectus**

Upon successful completion of the comprehensive examinations, a student proceeds to the dissertation stage of the program. A Dissertation Committee will be established after student consultation with her/his primary advisor and the Program Director. Committee members must be members of the University's Graduate Faculty. The Dissertation Committee Chair must hold Graduate Faculty Level I status at the time the dissertation is completed. Specific guidelines and forms may be found at the following website:


A completed **Thesis & Dissertation Proposal Approval Form** is required before students are eligible to register for dissertation coursework (UST 899). This form is available online through the College of Graduate Studies, at


**Dissertation and Prospectus Defenses**

Prospectus and dissertation defenses are open to the public and must be announced to the Levin College community. In order to ensure sufficient notice, students must inform the Departmental Secretary at least one week prior to the scheduled defense date, at which time a location will be reserved, and an announcement made. Students should provide an electronic copy of the prospectus or dissertation to the Departmental Secretary during the week prior to the defense. It is the student’s responsibility to arrange to have a computer at the defense if one is needed for the presentation. A laptop may be available through the department on a limited basis – please contact the Departmental Secretary to request use of this computer.

**Prospectus**

The first significant task in developing the dissertation is the development of a prospectus, which must be approved by the student's doctoral committee and placed in the student's file. The prospectus is presented formally at an open meeting (defense). Any member of the Graduate Faculty may attend and ask questions.

The prospectus becomes the basis for the dissertation research. The prospectus should review and critically assess the literature in a particular problem area, identify the problem to be addressed in relation to this literature and define the methodology for addressing the research question.
While it is not expected that all problems of the research can be fully anticipated at the prospectus stage, the student should have a sufficiently firm grasp of the subject matter to be able to produce a strong and convincing argument for a particular line of research.

**Dissertation**
The dissertation is the last major stage of the doctoral program. The dissertation demonstrates the student's ability to plan and carry through to successful completion a comprehensive research project. The dissertation should contribute to the body of knowledge and scholarship about the topic chosen.

Once a student has entered the dissertation stage, s/he must register for a minimum of one credit hour of UST 899 every Fall and Spring semester until graduation. A minimum of eighteen combined credit hours of dissertation (UST 899), prospectus (UST 896), and doctoral research (UST 895) are required; there is no maximum.

The Dissertation Committee must approve the completed dissertation. Upon acceptance of the dissertation by the committee, the student must hold a formal, public defense. Successful oral defense of the dissertation, determined by the committee, is the final step in completing the Ph.D. degree program.

The College of Graduate Studies has specific requirements for the format of the dissertation. These involve paper size and quality, margins, spacing, headings, and submission of bound copies to the library. Format Guidelines are available online at [http://www.csuohio.edu/graduate-studies/current-students/thesis-dissertation-format-guidelines](http://www.csuohio.edu/graduate-studies/current-students/thesis-dissertation-format-guidelines).

While there is no set time limit for completion of the dissertation, students should be aware of the University requirements for completing a degree program. Students must complete all doctoral degree requirements **within ten years of admission to the doctoral program**. If their program of study extends beyond ten years, students must petition the College of Graduate Studies for an extension. Petition approval is not guaranteed, and may require students to repeat coursework that will be over ten years old at the time of completion. Students are advised to consult the Graduate Catalog for detailed requirements.
QUALITY AND FAIRNESS IN THE DISSERTATION PROCESS

Program policies for ensuring high quality dissertations and fairness to students and faculty throughout the process are as follows:

1. Doctoral dissertation committee members will be members of the CSU graduate faculty. Only those graduate faculty members who have an earned doctorate and Level I status may serve as Chair of a committee. Those individuals who are not graduate faculty may serve as non-voting members of a committee.

2. Prior to successful defense of a prospectus, any committee membership consistent with College of Graduate Studies rules is tentative and subject to change at the discretion of the student and/or the prospective committee members. There is no obligation or requirement on behalf of the Ph.D. program to guarantee a committee member or Chair for any given dissertation research project.

3. Once a prospectus has been successfully defended and a dissertation committee has been appointed, changes in the composition of the committee, including Chair and/or member(s), require a completed and approved Change of Dissertation Committee Member Form.

4. In circumstances where the Program Director must exercise his or her discretion with regard to the membership of a dissertation committee to insure the quality of a dissertation, decisions made by the Program Director may be appealed to the Dean of the College. The Dean's review is strictly limited to the integrity of the evaluation process through which the quality of the dissertation is established, and to insuring that all undue or unwarranted obstacles to a student's successful completion of their dissertation have been eliminated.

5. A dissertation is completed only upon receipt of the signatures of not less than three voting members of a duly appointed dissertation committee.

6. While copy editing of a final dissertation by a third party is permitted, substantive content or manuscript editing by a third party is forbidden.
   a. Copy editing refers to relatively minor changes focused upon tidying up the document—ensuring consistent style, correcting misspellings, and attending to grammar, punctuation, and usage. Copy editing is done primarily for purposes of readability and meeting the format guidelines of the College of Graduate Studies. Copy editing does not include making changes to enhance the substance or coherence of the document.
   b. Content or manuscript editing involves making substantive changes in the document, including deleting redundant information, shortening
verbose text, reformatting figures and tables, clarifying the scientific content of the document, and working closely with the author. Content or manuscript editing is done primarily for the purposes of clarifying, elucidating, or otherwise improving upon the evidential reasoning and/or thought processes of the author.

7. Advancement to candidacy implies that the candidate has demonstrated mastery of the body of knowledge in the specialization area, proficiency at analysis within that specialization, and the ability to communicate the results of that analysis clearly. The comprehensive exam will thus be used as an indicator of competence in written English. If, at the time of completion of the comprehensive examination, the Program Director, in consultation with the examining faculty, believes that a candidate has demonstrated significant writing deficiencies, the Program Director will inform the candidate and recommend that s/he seek appropriate help.

8. In accordance with Graduate School policies, a student may seek to obtain the agreement of faculty members of his/her choice to serve on a dissertation committee.

9. A student may change the composition of his/her committee, including the Chair, at any time in the dissertation process, in accordance with the above provisions.

**PROSPECTUS GUIDELINES**

The following is a suggested format and set of guidelines for the dissertation prospectus. The student should be guided by his or her committee in adjusting these suggestions to the particular research plans. In some cases, the prospectus need not be long – 15 to 20 pages may be sufficient. The prospectus is not to be confused with a partial draft of the dissertation. It is simply a statement of the student’s research problem and the proposed research on that problem.

1. **Title** (or subject)

2. **Statement of Problem**
   A statement of a problem is not simply a matter of announcing a subject or stating a topic. It should clearly indicate the general questions and concerns that prompt the inquiry while also showing why the academic community would be interested in the problem and the research being proposed. Thus, the student must state a problem, show why it merits attention, and discuss the contribution that will be made by the research.
3. **Statement of Major Hypotheses or Thesis**
   The student must state the major proposition or propositions that his/her research will be designed to explore. In developing this proposition or set of propositions, the student should draw upon and take cognizance of scholarly literature and research that bears significantly on the problem.

4. **Scope of Proposed Research**
   The student must indicate the database or range of evidence that will be used to explore his/her hypotheses or thesis. This may be done in terms of some temporal, spatial, and/or substantive frame of reference.

5. **Method or Technique of Research**
   The student should indicate clearly that he/she has thought through the questions of what kind of knowledge or information is required to answer the questions being posed, how this knowledge or information can be obtained, and how it can be used once it is obtained.

6. **Phases of the Research**
   The student should include some initial plan of research indicating the phases that will be required to complete the research and tentative estimates of the time that will have to be devoted to each phase.

7. **Bibliography**
   The prospectus should include a bibliography containing references from the social science literature that are immediately relevant to the proposed problem and research. The bibliography need not be exhaustive but should clearly indicate the primary sources of data, theory, and methodology that will be used as a starting point for the research.
DISSERTATION SUBMISSION AND REQUIREMENTS FOR GRADUATION
Graduating doctoral students must successfully defend their dissertation no later than the last day of classes in the term of their anticipated graduation in order to participate in commencement ceremonies. In order for a degree to post and a diploma to be issued, the final dissertation must be submitted to the College of Graduate Studies for a format check before the beginning of the next academic term.

In the event that final revisions are not completed in time to meet this deadline, it will be necessary to defer graduation to the following academic term (during which copies will be submitted).

Notice of Completion Form
Before the University will issue a diploma, a Notice of Completion Form (NOC) must be completed. This form is available online through the College of Graduate Studies, at https://www.csuohio.edu/sites/csuo.edu.graduate-studies/files/nocdoctoral.pdf. This form should be signed and submitted to the College of Graduate Studies when you have completed all degree requirements and are ready to submit your final dissertation to the library for binding.

Continuous Enrollment
Ph.D. students who have successfully defended a prospectus must maintain continuous enrollment in UST 899: Dissertation each fall and spring semester until graduation.

Graduation and Commencement
All students are required to complete a graduation application well in advance of their projected graduation date. Graduation and commencement information can be found online at: http://www.csuohio.edu/registrar/graduation-information. Requirements for doctoral student participation in graduation ceremonies can be found at: https://www.csuohio.edu/sites/csuo.edu.graduate-studies/files/doctoral_hooding_requirements.pdf.
UNIVERSITY INFORMATION
Most university information can be found in the Graduate Catalog: http://catalog.csuohio.edu/index.php. It is student’s responsibility to familiarize themselves with the academic regulations contained in this catalog.

Campus 411 All-in-1: University Student Services
Campus411 provides general information and assistance with registration, academic records, student billing, and financial aid. Visit Campus411 All-in1 in MC116 or online at http://www.csuohio.edu/all-in-1/all-in-1.

EMAIL AND TECHNOLOGY SERVICES
Email is one of the most important means of communication among students, faculty and administrative offices in the Levin College and throughout Cleveland State University. Students are expected to check their University email accounts regularly or forward to a personal address via CampusNet.

Levin College System
Students enrolled in the Levin College of Urban Affairs may access secure storage space for their files as well as shared file storage for group or class projects. This storage is backed-up on a regular basis. New students can activate access to the College of Urban Affairs Computer System (CUACS) by completing an application form available at https://www.csuohio.edu/urban/sites/csuohio.edu.urban/files/media/technology/documents/cuacs_account_application.pdf.

Questions regarding accounts may be directed to Bob Martel, r.martel@csuohio.edu. Additional information regarding Levin College technology can be found at https://www.csuohio.edu/urban/technology/technology.

Active Student Status
A CSU student must be registered for courses in at least one semester during the academic year to be considered an active student in the program. Students who interrupt their enrollment in the University for three or more consecutive semesters, including summer, are placed on a voluntary Leave of Absence. In order to return to active status, students must submit a Request to Return from their Leave of Absence. This form is available online at https://www.csuohio.edu/sites/default/files/media/registrar/documents/leave_Absence.pdf.
**PARTICIPATING FACULTY**
The following are faculty members in the College of Urban Affairs participating in the Ph.D. program and their specializations and concentrations.

**Dr. William M. Bowen**  
Decision science and computer applications, energy policy, environmental issues, public administration, urban policy analysis, research methods

**Dr. Ronnie Dunn**  
Public administration, urban policy analysis, urban sociology, racial and social justice, crime and the criminal justice system

**Dr. Joanna Ganning**  
Economic development, GIS, shrinking/legacy cities, econometrics

**Dr. Robert Gleeson**  
History and public affairs

**Dr. Tatyana Guzman**  
Higher and Secondary educational finance, municipal finance, credit ratings, personal income tax

**Dr. Megan Hatch**  
Urban policy, social policy, inequality, rental housing, redistribution, women in public service

**Dr. Thomas Hilde**  
Scenario planning, planning support tools, green infrastructure, urban resilience

**Dr. Sanda Kaufman**  
Urban planning, urban policy analysis, decision making in conflict management, organizational leadership, environmental issues

**Dr. Wendy A. Kellogg**  
Environmental planning and policy, community development, urban planning

**Joseph Mead, JD**  
Nonprofit management, public administration

**Dr. Brian A. Mikelbank**  
Housing analysis, quantitative and spatial data analysis, research methods, urban geography, urban development and urban geographic information systems

**Dr. Obed Pasha**  
Social justice, public management, organizational theory and behavior, performance management, policy/program evaluation

**Dr. Meghan Rubado**  
Public administration, urban politics, environmental policy, quantitative methods
**Dr. Stephanie Ryberg-Webster**  
Urban planning, historic preservation, community development, neighborhood revitalization

**Dr. Robert A. Simons**  
Urban planning, housing/neighborhood development, economic development, real estate, environmental real estate economics

**Dr. Jeffrey Snyder**  
Education policy and politics, public policy, governance, education philanthropy

**Dr. J. Rosie Tighe**  
Housing & neighborhood development, housing policy, race and ethnicity, neighborhood revitalization

**Dr. Clayton Wukich**  
Organizational theory and behavior, city management, collaborative governance, social networks, digital government, public safety, emergency management, homeland security

**Dr. Nicholas Zingale**  
Public management and sustainability, Internet of Things, nonprofit management, environmental studies, organizational theory and behavior