Cleveland State University

REQUEST FOR PROPOSAL ("RFP") #5070ME

Comprehensive Banking Services

RFP Issued Date: 8/31/2026

ADDENDUM #1, Questions and Answers

Questions due by: 9/14/2026 by 2:00pm ET via email with the RFP #5070ME in the subject line

Proposal Due Date /Time: 10/5/2026 by 2:00pm ET via email with the RFP #5070ME in the subject line

Contact:
Michael Eames
Purchasing Manager
bids@csuohio.edu

Proposals must be received by the due date/time specified above. Proposals received after the due date/time will be returned unopened to the supplier

Please refer to the attached sheets for Instructions & Information. Proposals must be submitted on the form(s) provided and signed by an authorized representative in ink in the proper spaces. Vendors are cautioned to read this entire document carefully and to prepare and submit their Proposal providing all requested information in accordance with the terms and conditions set forth herein.

REQUEST FOR PROPOSAL ("RFP") #5070ME

Comprehensive Banking Services

ADDENDUM #1, Questions and Answers

- Please provide a copy of the most recent account analysis statement(s) for review
  Answer: See attached.
- What are the top priorities in selecting a new banking partner (e.g. service, credit availability, technology, pricing, etc.)?
  Answer: Please refer to the selection criteria in the RFP
- Please provide a copy of your Investment Policy
  Answer: See attached.

Professional Services Agreement

- Financial institutions require Treasury Services Agreements that contain critical operational, legal, and regulatory terms necessary to provide deposit and treasury management services. These agreements establish the customary rights and responsibilities of both parties. Is CSU open to negotiating a Professional Services Agreement that incorporates the Financial Institution's Treasury Services Agreement, resulting in a single, integrated contract?
  Answer: Yes
- Under Miscellaneous (f), the University states that it objects to any additional or conflicting terms and conditions contained in quotations, order forms, invoices, statements of work, acknowledgements, or other contractor documentation. Is CSU willing to negotiate a Professional Services Agreement that incorporates the Financial Institution's Treasury Services Agreement and related banking terms into one mutually acceptable agreement?
  Answer: Yes

Contractor Responsibilities

- Under Contractor Responsibilities (2), the agreement indicates that the contractor must submit invoices for services rendered. Would CSU be willing to allow treasury service fees to be collected through direct debit from a designated CSU bank account rather than through an invoice process?
  Answer: Yes

Renewal and Termination

- Under Renewal/Termination (3), the University retains termination rights. Would CSU be willing to provide comparable termination rights to the Financial Institution, including reasonable transition provisions?
  Answer: Yes

Liability and Indemnification

- Under Liability (7), the agreement addresses indemnification obligations. Would CSU be willing to include customary indemnification protections for the Financial Institution as part of the final agreement?

Answer: CSU is a State of Ohio entity and is not permitted to indemnify another entity under Ohio Revised Code 9.27

Pricing Information

- To develop an accurate pricing proposal, Financial Institutions require transaction volume information for the services requested in the RFP. Can CSU provide estimated monthly or annual volumes for the requested treasury management services, including, but not limited to: Checks paid, ACH transactions, Wire transfers, Deposits, Positive Pay items & Other treasury management services currently in use. Alternatively, would CSU be willing to provide a recent Account Analysis Statement?
  Answer: See attached Account Analysis Statement.
- In addition to transaction volumes, Financial Institutions require deposit balance information to evaluate earnings credit potential and develop appropriate pricing. Can CSU provide historical average monthly balances, annual average balances, or an estimate of the deposit balances that may be maintained with the awarded Financial Institution?
  Answer: The monthly average over the last 24 months is roughly $11.8M.
- Does CSU currently offset monthly treasury service fees through an Earnings Credit Rate (ECR) applied to deposit balances?
  Answer: Not at the present time
- Does CSU currently utilize any sweep arrangements? If so, please describe the structure and balances associated with those accounts.
  Answer: No
- Does CSU currently maintain interest-bearing operating or treasury management accounts? If so, please identify the account types and approximate balances.
  Answer: Although CSU does not have an investment "sweep" in place. It manually moves funds from the operating accounts to a money market investment. Currently, this investment is earning approximately 3.75%.
- Which financial institution currently provides CSU's treasury management and depository banking services?
  Answer: Huntington
- What factors or objectives led CSU to issue this Comprehensive Banking Services RFP?
  Answer: The present agreement is set to expire
- Does CSU currently have an exclusivity agreement with any financial institution to provide consumer banking products and services to students, faculty, staff, or alumni?
  Answer: Yes

BANKING SERVICES QUESTIONS

- Will the University be able to provide copies of account analysis statements so we can complete Attachment G (Pricing Proposal). We will need the volumes in order to complete the Attachment.
  Answer: See attached
- Please describe the balance profile associated with your banking relationship, including typical collected balances maintained by account type. Are balances subject to seasonal or cyclical

fluctuations? If yes, please identify the primary sources and timing of significant balance increases and decreases.
Answer: Generally, there are spikes in account balances in August and January due to timing of tuition payments.

- What is the University's liquidity strategy around deposits? Does the University opt to use Earnings Credit to offset all bank fees and then invest the excess or does the University prefer to pay fees and invest all deposits to maximize the rate of return on deposits?
Answer: Presently using the latter but would be open to different options

- Does the University currently utilize a sweep for deposits?

Answer: No

- Has the University started the Workday Integration Project? If not, when does the University expect to kick off this project for a 2027 "Go Live" date

Answer: The University has started training with an anticipated "Go Live" date of 7/1/27

- Is the University currently a participant in the IUC-PG Card Consortium?

Answer: Yes

CARD QUESTIONS

- What is the annual total spend on Cleveland State University's card program for 2025?

Answer: FY25 - $4.285M; FY26 - $4.275M

- How many days after the monthly statement is the payment due to the existing provider?

Answer: 25 days

- What is the size of the total card program credit line on the current program?

Answer: $1.460M

- How many physical cards are currently issued under the current card program?

Answer: Approximately 200

- How do cardholders complete their expense management today? Do cardholders log in to the card provider's portal or a third-party expense management platform such as concur?

Answer: Currently, cardholders log into the card provider's portal

- Does Cleveland State University also have an accounts payable virtual card program for vendor post invoice payments? If yes, what is the total spend in 2025 on this program?

Answer: N/A

- Is Cleveland State University willing to provide, at this stage or in the future, a vendor payment file for the last 12 months of total spend that the bank can analyze and make recommendations to further optimize the card program?

Answer: Yes

- Who is the current card program provider?

Answer: Bank of America

LEGAL QUESTIONS

- Many large banks utilize offshore resources for certain support functions. Please describe how the use of offshore support functions is evaluated, including whether it is assessed based on the criticality and risk of the activities, and under what circumstances, if any, it may be considered a disqualifying factor.

Answer: It would depend on the specific support functions and location of the function. It is not necessarily a disqualifying factor

- Will the University accept a Financial Institution's Disclosure, Clarifications and Exceptions document with comments to the terms and conditions of the PSA (following the instructions on page 8, 7. Contract Award) as Attachment I?

Answer: Yes

- In what section of the RFP response shall the financial institution's standard agreements be included? Would the University accept as part of an "Appendix" section?

Answer: Yes

- Would the University incorporate the financial institution's standard agreements in lieu of or in addition to the University's terms and conditions ("PSA") to govern the bank's provision of its Banking Services, subject to negotiation to include required University provisions?

Answer: Yes

- Would the University consider accepting an overview of the financial institution's insurance coverage at the RFP stage, with the detailed insurance obligations to be negotiated post-selection?

Answer: Yes. The University is seeking the applicable insurance in the attached "Insurance Requirements 8.7.25 Doc"

MERCHANT SERVICES QUESTIONS
Tuition Payments

- Are Tuition Payments in scope within this RFP?

Answer: Yes

- If yes, what Tuition software provider does the University use for Tuition payments?

Answer: Cashnet and Flywire

- Is the University charging a Convenience/Service Fee on Tuition Payments?

Answer: Yes

- If yes, who is charging the Convenience/Service Fee?

Answer: Transact

Tuition Software Provider or the University?

- Who is the University's current merchant provider for Tuition Payments?

Answer: Transact and Flywire

- Is the University under contract with their Tuition merchant provider?
  If yes, Contract Term:

Answer: Yearly

  - Expiration Date:

Answer: June 30, 2027

- Termination Notice:

Answer: 30 day notice

- Is it a requirement to execute City's Professional Services Agreement (PSA) for merchant services?

Answer: N/A

- Is the City open to utilizing the Merchant Provider's merchant services agreement?

Answer: The University would be open

- Is the Merchant provider allowed to debit the University's checking account for their merchant processing fees?

Answer: Yes

- What is the name of the Bank that the University is using for their merchant settlement?

Answer: Huntington Bank

- Is the University looking for next day settlement on their merchant deposit?

Answer: Yes

- How many Tuition merchant (MIDS) account numbers does the University have today?

Answer: 1 MID for Tuition Payments and 1 MID for Emarkets

- In order to provide Tuition pricing, please complete the table below with annual dollar amount and annual transactions for each card type.

Card Type	Sales	Transactions
Visa	$	
MasterCard	$	
Discover	$	
American Express	$	
PIN Debit	$	
eCheck/ACH	$	
Total	$	

Answer: See attachment

Non-Tuition Payments

- Who is University's current merchant provider today?

Answer: Fifth Third

- Is the University under contract with your current merchant provider?
  If yes, Contract Term: Yearly, Monthly
  Expiration Date
  Termination Notice: 30/60/90

Answer: Currently, CSU is under contract with a merchant provider. There is no termination noticed needed.

- Does the University have more than one merchant provider? If yes, please list out each merchant provider and what University location being used for?

Answer: No

- How many Non-Tuition merchant (MIDS) account numbers does the University have today?

Answer: 11

- Does the University accept American Express?

Answer: Yes

- Do you have a Direct American Express merchant account?
  Or is the account under the AMEX Opt Blue merchant program with your current merchant provider?

Answer: Direct American Express

- Does the University accept PIN Debit? If yes, how many merchant (MIDS) account numbers accept PIN Debit?

Answer: Yes

- What type of Card Present, Card Not Present, eCommerceOnline and Software credit card equipment/solutions/payment gateways is the University using today to process credit cards for payment?

Answer: See attached.

Card Present Credit Card Terminals or Terminal/Printer Units

- What type of Credit Card Terminals / Devices do you use today?

Answer: See attached.

- How many terminals/devices do you have?

Answer: See attached.

- What is the Manufacturer, Make and Model of the Credit Card Terminals/Pin Pads/Devices?

Answer: See attached.

- Is the equipment EMV/Chip compliant?

Answer: Unknown

- Does the University own the credit card equipment or is it leased?

Answer: Own

- Can you provide a list of your current POS Terminal Equipment that you are using?

Answer: See attached.

- Are you looking to use P2PE credit card terminals?

Answer: The University is open to P2PE credit card terminals and will evaluate their applicability based on the proposed payment processing solution, security requirements, and overall cost.

Card Not Present Browser Based Internet (Virtual Terminal) Payment Gateway

- Does the University accept Credit cards over the phone or through the mail which then are processed into a payment (Virtual Terminal) gateway?

Answer: No

- What is the name of the payment gateway?

Answer: N/A

- Do you use more than one payment gateway? If yes, please list out each payment gateway

Answer: N/A

Online Payments via the University website

- Does the University accept credit card payments on the University website? If yes, what payment gateways are being used for card processing?

Answer: CSU does not accept credit cards directly on CSU websites or systems. All credit card transactions occur on vendor websites/systems

- How many merchant (MIDS) account numbers accept credit cards for payments on the University's website?

Answer: N/A

Software Applications

- Is the University using any software applications for credit card processing? If yes, what is the software name?

Answer: CSU does not accept credit cards directly on CSU websites or systems. All credit card transactions occur on vendor websites/systems.

- Where is the software being used for?

Answer: Dining Hall, Parking, Bookstore, Events, Donations, Other

Other Payment Types (Pertaining to eMarkets only)

- Do you accept ACH for payment?

Answer: No

- Who is the ACH Provider?

Answer: N/A

- Do you accept Checks for payment?

Answer: No

- Who is the Check provider?

Answer: N/A

- Who does the University use for its PCI Compliance validation process?

Answer: PCI Compliance is handled by Transact.

- Does the University accept American Express?

Answer: Yes

- Do you have a Direct American Express merchant account? Or is the account under the AMEX Opt Blue merchant program with your current merchant provider?

Answer: Direct AMEX

- Does the University accept PIN Debit? If yes, how many merchant (MIDS) account numbers accept PIN Debit?

Answer: See attached.

FINANCIAL WELLNESS QUESTIONS

- # of employees (full-time & part), how many faculty do they have?

Answer: FTE 1,358; PTE 264; Faculty 439

- Do they have a wellness program for their staff & faculty?

Answer: Yes. There is a cash-incentive wellness program which promotes healthy behaviors and habits, preventive care, and engagement in health-related education

- Do they offer any consumer driven healthcare accounts for their staff (i.e. HSA, FSA, Dep Care, etc)

Answer: Yes, eligible employee may participate in Health Care and Dependent Care Flexible Spending Account benefits

- To allow respondents sufficient time to review the University's responses to submitted questions, assess any implications for pricing, implementation, technology integrations, and contractual terms, and complete internal approval processes, would CSU consider extending the proposal submission deadline beyond October 5, 2026? We believe additional time would enhance the quality and completeness of proposer responses and ultimately benefit the University's evaluation process

Answer: No, due to the timing of implementation of ERP system, we are not in a position to extend the timeline

Branch, Account Structure and Depository Services

- The RFP requires the banking institution to maintain a full-service branch banking facility within ten (10) miles of the University throughout the contract term. Would CSU consider a respondent that does not currently have a qualifying branch within ten miles if the respondent proposes an alternative service model otherwise demonstrates that all University service needs will be met?

Answer: Yes

- Can the University provide the number and type of accounts currently maintained, including operating, payroll, zero-balance, merchant settlement, joint, investment, and other depository accounts?

Answer: 1 Operating (Main), 1 Payroll, 4 ZBA, 2 Merchant

- Can CSU provide average and peak ledger and collected balances for the primary operating account and other significant depository accounts?

Answer: 12-month avg - $9.1M; Peak - $21M

- Please provide annual transaction volumes by account and service category, including ACH debits and credits, incoming and outgoing wires, checks issued and deposited, returned items, deposit adjustments, treasury services, merchant processing, commercial card activity, ATM activity, and other volumes needed to complete Attachment G on a comparable basis

Answer: See account analysis statement

- What collateralization methodology does CSU currently use for public deposits? Does the University use OPCS and what collateralization rate does the University require?

Answer: CSU uses the OPCS system. OPCS Collateral Percentage: 102%

Treasury Management and Cashiering

- Please provide recent account analysis statements or a summary of services and volumes currently billed?

Answer: See account analysis statement

- Can CSU provide current remote deposit capture volumes, number and location of scanners. Also include scanner make and model numbers
  Answer: We capture, on average, approximately 300-400 checks per month. In January/August, we do see a spike to around 600-700 (with tuition being due for the semester). We just changed/purchased our new scanner in February this year, and below is the info from the bank.

Monthly Maintenance Fee	$44 Per Scanner/License/Location(Physical)
Per Deposit Fee	$0.88
Per Item Fee	$0.22

Epson Capture One 90 (90 docs per minute)	24 Month Warranty	$770 not including shipping or tax

The actual machine says EPSON TM-S1000, Model M236A.

- Please provide armored-car pickup locations, frequency, and typical deposit composition.

Answer: 2 Locations – Parking Office and Wolstein Center. Approximately once per week. Deposit composition is check, cash, and coin.

- The RFP indicates that the Accounts Payable Checking Account will utilize Controlled Disbursement. Can CSU confirm whether checks should post to the account before exception decisions are made, or after? This information will help determine the appropriate reconciliation structure and reporting setup.

Answer: Preferably, before deciding, we would need to assess our situation and determine the best option.

- Please indicate whether remittance processing is required and, if so, describe the required remittance coupon formats, data-capture fields, balancing and reconciliation requirements. If remittance processing is not required, please confirm whether CSU requires only image and file transmission of received items.

Answer: Remittance processing is not required at this time.

- Please describe CSU's current lockbox arrangement, current operational pain points, and any additional specifications or processing requirements that should be considered in the proposed solution.

Answer: Currently, CSU does not utilize a lockbox.

- Please provide the number and location of lockbox processing sites required, including any regional or local processing preferences

Answer: N/A

- Please provide the estimated monthly and annual lockbox item volumes, deposit volumes, and associated dollar volumes.

Answer: N/A

- Please describe the types of payments and correspondence expected through the lockbox, such as checks only, checks with remittance documents, or mixed mail.

Answer: N/A

- Please describe any image-access requirements, including online image viewing, image-archive retention periods, and user-access expectations.

Answer: N/A

- Please identify any reporting, file-transmission, or integration requirements associated with lockbox, including desired file formats and delivery methods

Answer: N/A

- Please provide any deposit-availability, processing-deadline, service-level, or cut-off-time requirements for lockbox services.

Answer: N/A

- Please indicate whether exception processing, special handling, or correspondence-management services are required for lockbox

Answer: N/A

Merchant and Digital Payment Services

- Can CSU provide annual merchant processing dollar volume, transaction count, average ticket size, and card mix by Visa, Mastercard, Discover, and American Express, where available?
  Answer: See attached
- Can CSU provide a list of current merchant processors, gateways, point-of-sale systems, payment applications, merchant locations, and number of merchant IDs?
  Answer: See attached.
- The RFP states that the third-party tuition payment processor is not being replaced. Please identify the provider and describe required banking, settlement, reporting, and reconciliation integrations.
  Answer: Transact
- Does CSU anticipate consolidating merchant processing under one provider, or may specialized payment environments or existing arrangements remain?
  Answer: CSU would prefer to have one provider.
- Can CSU provide historical annual chargeback and retrieval-request volumes and current chargeback-management or PCI-support requirements?
  Answer: This information is not readily available.

Workday and Technology Integration

- Please identify CSU's Workday implementation partner or systems integrator supporting the planned July 2027 go-live.

Answer: Alchemy

- Is CSU seeking respondent recommendations for integration architecture, or has CSU identified preferred methods such as APIs, host-to-host, SFTP, BAI2, or ISO 20022?

Answer: The University will decide the appropriate method. We would like to learn more about the solutions and services the vendor can offer and will follow up with a decision based upon the information presented.

- Will treasury management and banking services be implemented concurrently with the July 2027 Workday deployment, or before or after go-live?

Answer: Treasury management and banking services are expected to be implemented in coordination with the July 2027 Workday deployment. The University anticipates that implementation activities will begin in advance of go-live, with the final timing and transition plan determined in collaboration with the selected banking partner and the Workday implementation team. We request the selected vendor partner prepares their technical team for collaboration with our implementation team as part of the award notice of this RFP.

- Will CSU use check-printing services, or will check issue files be transmitted to the bank from Workday or another system for Positive Pay processing?

Answer: It is the intent to use Workday

- For wire confirmations, will wire payments be initiated through a Workday integration with the bank, or is CSU only seeking receipt of wire confirmation information for wires initiated through another channel?

Answer: It is the intent to use Workday

Commercial Card Program

- Can CSU provide current purchasing/commercial card annual spend, transaction count, active cardholders, average transaction size, and major spending categories?

Answer: Annual spend is FY25 - $4.285M; FY26 - $4.275M. Approximately 189 cardholders.

- Please identify the current commercial card provider, contract expiration date, and existing rebate and incentives

Answer: Bank of America; 6/30/30 with 3 one year extension options; determined by spend

- Does CSU expect the card program to be awarded with the banking relationship, or may CSU award or retain it separately?

Answer: At this time, we intend to retain it separately

- What aspects of CSU's current commercial card program are working well, what challenges should be addressed, and what are CSU's primary objectives for the future program, such as stronger controls, operating efficiency, cost savings, or improved rebates?

Answer: The entire program is working well, and we anticipate strong controls with Workday

- What types of expenses would CSU like to place on cards, including travel and entertainment, operating expenses, and student- or activity-related purchases?

Answer: All that are listed in the question

- How does CSU currently reconcile card expenses, and what reporting is required by school, department, grant, or program?

Answer: Expenditures are matched to the statement and card holder and supervisor approvals are required

- What Workday integration requirements apply specifically to the commercial card program, including cardholder data, transaction feeds, coding, approvals, expense reporting, and reconciliation?

Answer: This is still in discovery

- Which employee groups would hold cards, such as staff, faculty, or finance personnel?

Answer: All that are listed in the question

- Is CSU seeking to shift vendor payments from check or ACH to card, and would CSU provide a payables file for analysis?

Answer: Not at this time

ATM and Campus Banking

- Are there contractual restrictions, exclusivity provisions, equipment-ownership terms, or termination obligations associated with current ATM arrangements?

Answer: Yes

- Has CSU identified required or preferred ATM locations and functionality, or should respondents recommend the number, type, and location strategy?

Answer: We are open to recommendations

Strategic Partnership, Philanthropy and Community Impact

- Are there specific priorities CSU would like respondents to emphasize for philanthropic support, such as scholarships, first-generation student success, emergency assistance, academic programs, research, entrepreneurship, workforce development, athletics, arts, or capital projects?

Answer: The top areas for philanthropic support at this time are scholarships for new and returning students that help meet CSU's affordability commitment, followed by gifts and sponsorships that support key academic programs. For academic programs, we encourage the banking partner to align philanthropic support with their ability to provide learning and work experiences in the financial, investment, and banking sectors, leveraging their unique networks and access to thought leaders. In addition, consider high-visibility athletics sponsorships and support for capital projects with naming opportunities for maximum visibility.

- Should philanthropic commitments be evaluated only on guaranteed commitments, or may respondents also describe discretionary grantmaking, employee giving, matching gifts, volunteerism, and executive engagement?

Answer: All of these should be evaluated. While budget-relieving cash support is a priority, we will embrace all of these areas. In addition, we encourage the banking partner to consider commitments that accelerate CSU's mission to be in and of the city of Cleveland, forging strong community partnerships that impact beyond the borders of campus.

Evaluation, Pricing and Contract

- The RFP describes a five-year initial term with two additional five-year options, while Attachment I permits up to three additional one-year terms. Please clarify the intended term and renewal structure

Answer: The RFP five-year initial term with two additional five-year options is the intended structure

- Will CSU accept direct fees, compensating balances, earnings credits, or a combination, and how should alternatives be presented in Attachment G?

Answer: CSU will determine what option aligns best with its goals based upon what is submitted

- Please confirm whether respondents may identify optional or alternative services and pricing in addition to the required base solution and indicate the preferred presentation format.

Answer: Yes. CSU will be open to all solutions.

- Will the winning financial institution have exclusive marketing rights to the students, faculty and staff, along with the alumni? If not exclusive, what marketing rights will you afford to the winning financial institution and any non-winning institutions?

Answer: Yes. Cleveland State University is prepared to consider providing the selected financial institution with exclusive or preferred-partner marketing rights within the retail banking and financial-services category. The final scope of exclusivity will be defined during contract negotiations and will remain subject to existing contractual obligations, University policies, applicable law, and the University's continued ability to communicate educational and noncommercial financial information.

The selected institution would receive a meaningful package of marketing, engagement, sponsorship, and visibility opportunities involving students, faculty, staff, and alumni. Non-winning institutions would not receive comparable University-wide preferred-partner status, although CSU may continue to permit limited participation in career recruitment, academic programming, philanthropic activities, or other engagements that are not in conflict with the selected institution's negotiated rights.

- Will the University designate a primary representative to serve as the day-to-day liaison for student engagement, coordinate agreement commitments, and ensure the spirit of the agreement is upheld?

Answer: Yes. The University will designate a primary representative to serve as the day-to-day liaison and coordinate implementation of the agreement. This individual will work across relevant University departments to facilitate engagement opportunities, monitor contractual commitments, and help ensure that both the letter and spirit of the partnership are upheld.

Will the University agree to a completely digital marketing plan to include utilization of digital screens throughout all campus locations?

Answer: Yes. The University is open to developing a comprehensive, digital-first marketing plan that includes access to available digital screens and other appropriate digital communication channels throughout campus. Placement, frequency, content, and scheduling will be coordinated with the

University and will remain subject to availability, technical capabilities, accessibility requirements, brand standards, and content-approval processes.

- Is the university aligned to one annual payment that would encompass royalty payment, marketing support, and financial considerations for ATM placement?

Answer: Yes. The University is amenable to a consolidated annual payment encompassing royalties, marketing support, ATM-placement considerations, sponsorship benefits, and other negotiated financial components. For administrative and accounting purposes, the final agreement should clearly identify the value and intended allocation of each component within the total annual payment.

- How many ATMs are currently on the CSU campus and can you describe the functionality (Deposit and Cash Withdrawal vs. Cash Dispenser) of the current ATMs?

Answer: Currently, CSU has 3 ATMs on campus.

- What social media platforms will be available to promote the bank's products and services to students and employees?

Answer: The University is willing to consider coordinated promotion through its official social media channels, which currently include Facebook, Instagram, LinkedIn, X, YouTube, and TikTok, as well as relevant affiliated channels managed by colleges and University units. The specific channels, audiences, frequency, and content will be established through a mutually agreed-upon marketing plan and will be subject to University brand standards, content approval, platform suitability, and applicable advertising requirements.

- Are email communications sent to all students, all employees, or both? If so, would the University allow the bank to participate in these communications?

Answer: Yes. The University communicates electronically with both students and employees and is willing to include approved content from the selected financial institution in appropriate University-managed communications. The frequency, format, audience, and timing would be established through the annual marketing plan.

All communications would be distributed through University-controlled channels. The University would not provide direct access to student or employee email lists or personally identifiable information, and all communications would remain subject to University policies, applicable privacy requirements, and content approval.

- If there are not any broad communication methods, how will the university coordinate the banks messaging across different departments and colleges to ensure products and services can be promoted to the entire University community?

Answer: The University has broad communication channels and will also designate a primary relationship manager to coordinate the selected bank's messaging across relevant colleges, departments, and administrative units. CSU and the selected institution will develop an annual engagement and communications plan identifying key audiences, communication channels, responsible departments, major events, and an anticipated promotional calendar.

The University will make reasonable efforts to provide consistent, institution-wide coordination while recognizing that individual colleges and units maintain responsibility for their respective communications and event schedules.

- Will the bank have access to all domestic and international orientation events, including orientation events provided by individual colleges?

Answer: Yes. CSU is willing to provide the selected financial institution with coordinated access to appropriate domestic and international student orientation programs, including relevant college-based orientation activities. Participation will be scheduled in collaboration with the units responsible for each program and will be subject to event format, space, capacity, student needs, and other operational considerations.

The University and the selected institution will identify priority orientation opportunities through the annual engagement plan, with the goal of providing meaningful access to incoming students across the University.

- Will the university be aligned to the bank presenting to parents and students during new student orientations?

Answer: Yes. The University is willing to provide appropriate opportunities for the selected financial institution to engage with students and parents during new-student orientation. Potential programming may include presentations, financial-wellness sessions, informational materials, resource fairs, and question-and-answer opportunities.

- Will the bank have access to graduate student events including orientation?

Answer: Yes

- Will the university allow the bank the opportunity to conduct tabling events on campus outside of general orientation programs to promote products and services, including account opening, to students and employees? If so, will the university allow locations both inside and outside?

Answer: Yes – both inside and outside opportunities will be available.

- If tabling is allowed, what additional costs would there be, if any?

Answer: CSU prefers to incorporate routine tabling opportunities for the selected financial institution into the overall partnership package without separate recurring charges. CSU would disclose and agree in advance on any direct or incremental costs associated with special requests—including dedicated facilities, equipment, technology, security, utilities, extensive setup, catering, parking, or staffing. The final agreement will identify which standard tabling opportunities are included and which, if any, may require additional fees.

- On average, how many new employees are hired annually?

Answer: Approx 250-300

- Can you breakdown the total number of employees by full-time and part-time and by faculty and non-faculty staff?

Answer: FT Non-Faculty Staff: 809; PT Non-Faculty Staff: 237; FT Faculty: 535; PT Faculty: 947

- Are new employee orientations in-person or virtual?

Answer: In person

- Can you please describe what opportunities the bank will have to promote products and services during in-person orientations and virtual orientations?

Answer: There are opportunities to engage with faculty and staff both in-person and virtually, and these engagements can be coordinated with the Benefits team.

- Does the University have a financial wellness program or coordinator, and will there be opportunities made available to communicate financial wellness education information on a regular basis to the students and employees?

Answer: There is a campus wide Shine Wellfest annually available for students, faculty and staff where in-person connections can be achieved. The university is also open to additional opportunities.

- What metrics will CSU use to evaluate the effectiveness and success of the Financial Literacy and Student Financial Wellness Program?

Answer: CSU anticipates evaluating the program based on participation, engagement, student feedback, and measurable improvements in financial knowledge and confidence. Specific metrics and targets will be established in collaboration with the selected banking partner.

- Do you offer a wellness program or Total Rewards package for faculty and staff?

Answer: Yes

- What is CSU's annual commercial card spend?

Answer: Approximately $3M per year.

- Can a master spend file be made available?

Answer: Not at this time.

- Are all campuses, departments, affiliated entities, and merchant accounts included?

Answer: N/A

- Are locations any excluded or operated by third parties? (ticketing, bookstore, cafeteria, etc.)

Answer: Yes

Please provide the most recent 12 months totals:

- transaction counts per location

Answer: Not at this time.

- dollar volumes per location
  For each location:

Answer: Not at this time.

- average and maximum transaction amounts

Answer: Not at this time.

- online vs. in-person payments

Answer: Not at this time.

- What breakdown is available by card brand, credit/debit, domestic/international cards, and any existing ACH payments?
  Answer: See attached

- Which processor(s) currently processing for CSU?

Answer: Tuition Payments and eMarket through Transact

- Request for breakdown of gateways, tuition payment platforms, point-of-sale systems, and event platforms currently used for each location

Answer: Transact

- What are the names and versions of the university's student information, finance/accounting, and enterprise systems?

Answer: Student Information – Peoplesoft 9.2 Finance/Accounting – Peoplesoft Financials, Enterprise Systems - Peoplesoft

- Which systems must be integrated with and any upgrades planned?

Answer: Workday HCM (July 1, 2027), Workday Financials (July 1, 2027), & Workday Student (August 2029)

- Are ACH/eCheck, installment plans, recurring payments, authorized parent or sponsor access, and international payments included?

Answer: Yes

- Who currently pays processing costs—the university, the payer, or a combination?

Answer: Combination

- Is the University interested in alternative payment methods to defray the costs of processing to the student or parents by way of service fee?

Answer: No

- What funding timetable, settlement accounts, cutoff times, and gross-versus-net settlement arrangements are required?

Answer: Currently we have no required arrangement and/or timetables; however, we would be open to discussion on the subject

- Which reconciliation activities are manual today, and how should refunds, disputes, fees, and unmatched payments appear in reporting?

Answer: Disputes for tuition payments are handled through Transact

- What fraud controls exist today, and what problems should the proposed solution address?

Answer: Fraud controls include but not limited to ACH Positive Pay, Check Positive Pay, Reverse Positive Pay, Check block, Payee Match, and Teller Positive Pay

- What is the university's current PCI validation approach, and are there known gaps or remediation projects?

Answer: See attached

- What does "PCI compliance and support" mean in this RFP: advisory assistance, assessment support, scanning, training, technical controls, or a broader managed service?

Answer: In this RFP, PCI compliance means the following:

Network security: Install and maintain firewalls.

Safe settings: Change default vendor passwords and security parameters.
Data protection: Encrypt stored cardholder data and protect data sent over public networks.
Malware defense: Use and regularly update antivirus software.
Secure systems: Build and update secure applications and software.
Access control: Restrict data access to a business need-to-know basis and assign unique IDs to users.
Physical security: Limit physical access to card data.
Monitoring: Track and log all access to networks and data.
Testing: Regularly test security systems.
Policies: Maintain a clear information security policy for all staff.

- What are the desired launch dates and phased rollout expectations?

Answer: Workday will go-live July 1, 2027 and implementation will begin as soon as the contract is executed. The full timeline will be determined at that time.

- When do existing agreements expire, and are there termination costs, equipment commitments, or migration restrictions

Answer: Transact – Agreement will end June 30, 2027

- Can you provide your most recent account analysis statements?

Answer: See attached.

- Do you anticipate transitioning the banking services along with Workday together in July 2027? Or will you have separate transition dates?

Answer: We anticipate it will transition together

- Would you provide Analysis Statement(s) and average account balances to assist with the completion of attachment G Pricing Sheet?

Answer: See attached.

- Can you confirm the annual spend for the Purchase Card program? The number of cards? Is it distributed or non-distributed?

Answer: Annual spend is FY25 - $4.285M; FY26 - $4.275M. Approximately 200 cardholders.

- Could you provide more details on the current lockbox processing?

Answer: Currently, CSU does not utilize a lockbox.

- Would the University be willing to provide account analysis statements for us to better understand the current account set up and service volumes?

Answer: See attached.

- Can the University please provide current Merchant processing statements?

Answer: See attached.

- Is there a desire from the University to make payments from WorkDay?

Answer: Yes, the University would like to use Workday to its full extent.

- Does the University plan on using Workday for tuition & fee payments or do you use a different system/software for this?

Answer: Tuition & Fee payments will use Transact.

- Will online & mobile payments also flow through Workday or do you use a different system/software for this?

Answer: Online tuition payments will be processed through Transact

- Campus Retail – What software system are you using? Are you looking for something new or for us to integrate?

Answer: POS terminal listing is attached. Respondents should review the current environment and identify any integration requirements, compatibility considerations, or recommended solutions as part of their response.

- Event Registration – what software/system are you using? Are you looking for something new or for us to integrate?

Answer: For event registrations, Transact will be used.

- How does the University prefer the merchant accounts to be structured?
    a. Do you plan on using (1) merchant account for all payment acceptance, or do you want to separate each category listed on pages 18-19 of the RFP with individual merchant accounts?

Answer: CSU's preference would be to have one for each category but would be open to ideas for more efficiency.

- Do you plan on adding a surcharge to credit card sales?

Answer: The University has not yet determined whether it will implement a credit card surcharge. Respondents should describe available surcharge capabilities, including applicable requirements, implementation considerations, and associated costs.

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Account Analysis Statement **Group:** 8XXXXXX27097

Statement Period	08/01/2026 - 08/31/2026	Statement Date	09/08/2026
Settlement Date	01/01/0100	Settlement Account	XXXXXX27097

Account Summary Section **Group:** 8XXXXXX27097

Balance Analysis

AVERAGE BALANCE	5,729,550.48
AVERAGE FLOAT	-145,402.35
AVERAGE COLLECTED BALANCE	5,584,148.13
AVG POSITIVE COLLECTED BALANCE	5,584,148.13
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	5,584,148.13
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	5,584,148.13

Service Charge Analysis

TOTAL CHARGE FOR SERVICES	11,316.67
EARNINGS CREDIT ALLOWANCE	0.00
NET CHARGE FOR SERVICES	11,316.67
***SERVICE CHARGE AMOUNT	11,316.67

Service Charge Detail **Group:** 8XXXXXX27097

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	13	0.0000	0.00	B
0110	015010	CHECKS PAID	103	0.2700	27.81	B
0111	010020	DEPOSITS PROCESSED	3	1.6000	4.80	B
0147	010000	MONTHLY SERVICE FEE-CML INT	13	46.0000	598.00	B
1143	100416	RETURNS ONLINE VIEWING MAINT	1	12.0000	12.00	B
1144	100415	RETURNS ONLINE VIEWING ITEMS	6	1.5000	9.00	B
2551	250200	ACH RECEIVED-DEBIT	69	0.3100	21.39	B
2552	250201	ACH RECEIVED-CREDIT	564	0.3100	174.84	B
ZERO BALANCE ACCOUNTING-ZBA						
5020	010020	ZBA-MONTHLY MAINT	1	35.0000	35.00	B
5021	010021	ZBA-ADDTL ACCOUNT	5	35.0000	175.00	B
5022	010111	ZBA-ACCT CREDITS	46	0.0000	0.00	B
ACCOUNT RECONCILEMENT						
1062	001006	DEPOSIT RECON PROCESSING	85	0.0800	6.80	B
1064	100600	DEPOSIT RECON MONTHLY MAINT	1	70.0000	70.00	B
2003	200010	DISB RECON MAINT - FULL-RANGE	3	85.0000	255.00	B
2010	200110	DISB RECON PROCESSING FULL	1,496	0.1100	164.56	B
2034	200301	RECON RPRT TRANSMISSION MAINT	1	30.0000	30.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	10	31.0000	310.00	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
1496	150030	ACH POS PAY/CHECK POS PAY ACCT	3	76.0000	228.00	B
1520	015012	CHK/RVRS POS PAY-CHECKS PAID	1,496	0.0000	0.00	B
1522	150724	CHK POS PAY-EXCEPTIONS	27	1.2500	33.75	N
1522	150724	CHK POS PAY-EXCEPTIONS	35	1.2500	43.75	B
1528	150322	CHK/RVRS POS PAY-RETURNS	2	7.0000	14.00	B
1554	150030	CHK POS PAY-PAYEE MAINT	3	8.0000	24.00	B
PAYMENT CENTER						
2140	320000	PYMNT CNTR-CMPRHNSV ACH-WIRES	1	75.0000	75.00	B
2141	013000	PYMNT CNTR-CMPRHNSV ACH ONLY	1	55.0000	55.00	B
2143	320000	PYMNT CNTR-CMPRHNSV ACCOUNTS	8	6.0000	48.00	N
AUTOMATED CLEARING HOUSE-ACH						
2235	250101	ACH-ONLINE CREDIT ORIG	36	0.2600	9.36	B
2242	250120	ACH-ONLINE ADDENDA ORIG	18	0.0700	1.26	B
2500	002500	ACH MONTHLY MAINT FEE	5	70.0000	350.00	B
2504	250400	ACH RETURN ITEM NOTIF (TRANS)	70	0.0700	4.90	B
2510	251030	FRB ROUTING DRCTRY ADMIN FEE	1	5.5000	5.50	B
2511	250102	ACH ITEMS ORIGINATED	13,605	0.1100	1,496.55	B
2515	250100	ACH-DEBIT ORIG SAMEDAY	382	1.2500	477.50	B
2560	250302	ACH RETURN ITEM	115	7.0000	805.00	B
2564	250642	ACH REVERSAL OR DELETE	1	42.0000	42.00	B
2565	250501	ACH TRANSMISSION	67	8.0000	536.00	B
2571	250610	ACH ITEM REPAIR	15	22.0000	330.00	B
2583	251070	ACH NOTICE OF CHANGE	39	5.0000	195.00	B
BUSINESS ONLINE-BOL						
3754	400222	BOL ITEMS EXPORTED-CSV	51,659	0.0000	0.00	B
3830	4000ZZ	BOL COMPANY MAINT	2	45.0000	90.00	B
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	16	6.0000	96.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	16	6.0000	96.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	3,174	0.0600	190.44	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	2,243	0.0600	134.58	B
ELECTRONIC DATA INTERCHNG-EDI						
3005	014000	EDI-REPORT MONTHLY MAINT	1	40.0000	40.00	B
3025	014004	EDI-REPORT-DETAIL TRANSLATED	201	0.2600	52.26	B
3026	014004	EDI-REPORT-ADDENDA TRANSLATED	255	0.2500	63.75	B
COIN & CURRENCY SERVICES						
1047	10001Z	BRANCH CASH DEPOSIT PROCESSING	22	0.0040	0.09	N
VAULT SERVICES						
1002	100100	VAULT DEP - MIXED CURR-CHECKS	6	2.0000	12.00	B
1006	100102	VAULT DEP - CURRENCY ONLY	17	1.6000	27.20	B
1007	100103	VAULT DEP - CHECKS ONLY	2	1.6000	3.20	B
1048	100114	VAULT DEP - CASH PROCESSING	9,078	0.0019	17.25	B
1165	10022Z	VAULT DEP - DEPOSITED ITEMS	12	0.2800	3.36	B
CONTROLLED DISBURSEMENT-CDA						
1500	150000	CDA - MONTHLY MAINT	2	112.0000	224.00	B
1510	015011	CDA - CHECKS PAID	1,339	0.1700	227.63	B
CENTRALIZED RETURNS						
1141	100400	SPEC RETURNS ITEM PROCESSED	3	15.0000	45.00	B
1142	100402	SPEC RETURNS RECLEAR ITEM	1	7.0000	7.00	B
WIRE TRANSFER						
2300	350100	WIRE-ONLINE DOMESTIC TEMPLATE	2	16.0000	32.00	B
2301	350100	WIRE-ONLINE DOMESTIC FREEFORM	1	16.0000	16.00	B
2302	350120	WIRE-ONLINE INTERNAL TEMPLATE	2	7.0000	14.00	B
2305	350110	WIRE-ONLINE INTL USD FREEFORM	5	31.0000	155.00	B
2308	003599	WIRE-ONL INTERMED BNK FEE USD	5	20.0000	100.00	B

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Service Charge Detail (Continued) **Group: 8XXXXXX27097**

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
3530	350210	WIRE-INCOMING DOMESTIC	7	18.0000	126.00	B
3531	350210	WIRE-INCOMING INTL	1	25.0000	25.00	B
3560	350210	WIRE-DRAWDOWN REQ DOMESTIC	4	25.0000	100.00	B
3580	350320	WIRE-INCOMING INTERNAL	2	7.0000	14.00	B
REMOTE DEPOSIT-RD						
3251	101323	RDC DEPOSITS PROC - DESKTOP	46	0.9200	42.32	B
3254	101321	RDC DEBIT ITEM - DESKTOP	519	0.2300	119.37	B
3257	101332	RDC SCANNER/LOCATION COUNT	1	46.0000	46.00	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	13	20.0000	260.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	3,900	0.3500	1,365.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	2,302	0.2500	575.50	B
4260	250400	INFO RPT-ONL-ACH RET/NOC ITEMS	53	0.7500	39.75	B
4268	300525	INFO RPT-ONL-EDI MAINT RPT	20	1.7500	35.00	B
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	5,584	0.0600	335.04	B
TOTAL CHARGE FOR SERVICES					**11,316.67**	**B**
TOTAL NO CHARGE					**81.84**	**N**

Accounts included in Group Analysis **Group: 8XXXXXX27097**

XXXXXX27097	XXXXXX27123	XXXXXX27149	XXXXXX27165	XXXXXX27204	XXXXXX60625	XXXXXX95056
XXXXXX27107	XXXXXX27136	XXXXXX27152	XXXXXX27181	XXXXXX27217	XXXXXX95043	

Please review your statement within 30 days and notify the bank of any questions. We will research your inquiry and notify you of any findings. After 30 days, the items on the statement will be deemed to be correct.

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27097

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27097

Balance Analysis		**Service Charge Analysis**		
AVERAGE BALANCE	4,250,786.50	TOTAL CHARGE FOR SERVICES		4,579.46
AVERAGE FLOAT	-145,402.35	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	4,105,384.15	NET CHARGE FOR SERVICES		4,579.46
AVG POSITIVE COLLECTED BALANCE	4,105,384.15			
RESERVE REQUIRED	0.00	***SERVICE CHARGE AMOUNT		0.00
AVERAGE AVAILABLE BALANCE	4,105,384.15			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	4,105,384.15			

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27097

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0111	010020	DEPOSITS PROCESSED	3	1.6000	4.80	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
1143	100416	RETURNS ONLINE VIEWING MAINT	1	12.0000	12.00	B
1144	100415	RETURNS ONLINE VIEWING ITEMS	6	1.5000	9.00	B
2551	250200	ACH RECEIVED-DEBIT	6	0.3100	1.86	B
2552	250201	ACH RECEIVED-CREDIT	195	0.3100	60.45	B
ZERO BALANCE ACCOUNTING-ZBA						
5020	010020	ZBA-MONTHLY MAINT	1	35.0000	35.00	B
ACCOUNT RECONCILEMENT						
1062	001006	DEPOSIT RECON PROCESSING	85	0.0800	6.80	B
1064	100600	DEPOSIT RECON MONTHLY MAINT	1	70.0000	70.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
PAYMENT CENTER						
2140	320000	PYMNT CNTR-CMPRHNSV ACH-WIRES	1	75.0000	75.00	B
2141	013000	PYMNT CNTR-CMPRHNSV ACH ONLY	1	55.0000	55.00	B
2143	320000	PYMNT CNTR-CMPRHNSV ACCOUNTS	8	6.0000	48.00	N
AUTOMATED CLEARING HOUSE-ACH						
2235	250101	ACH-ONLINE CREDIT ORIG	5	0.2600	1.30	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
2242	250120	ACH-ONLINE ADDENDA ORIG	5	0.0700	0.35	B
2500	002500	ACH MONTHLY MAINT FEE	2	70.0000	140.00	B
2510	251030	FRB ROUTING DRCTRY ADMIN FEE	1	5.5000	5.50	B
2511	250102	ACH ITEMS ORIGINATED	7,467	0.1100	821.37	B
2515	250100	ACH-DEBIT ORIG SAMEDAY	382	1.2500	477.50	B
2560	250302	ACH RETURN ITEM	45	7.0000	315.00	B
2565	250501	ACH TRANSMISSION	35	8.0000	280.00	B
2571	250610	ACH ITEM REPAIR	11	22.0000	242.00	B
2583	251070	ACH NOTICE OF CHANGE	14	5.0000	70.00	B
BUSINESS ONLINE-BOL						
3754	400222	BOL ITEMS EXPORTED-CSV	51,659	0.0000	0.00	B
3830	4000ZZ	BOL COMPANY MAINT	2	45.0000	90.00	B
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	2	6.0000	12.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	2	6.0000	12.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	930	0.0600	55.80	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	560	0.0600	33.60	B
ELECTRONIC DATA INTERCHNG-EDI						
3005	014000	EDI-REPORT MONTHLY MAINT	1	40.0000	40.00	B
3025	014004	EDI-REPORT-DETAIL TRANSLATED	201	0.2600	52.26	B
3026	014004	EDI-REPORT-ADDENDA TRANSLATED	255	0.2500	63.75	B
COIN & CURRENCY SERVICES						
1047	10001Z	BRANCH CASH DEPOSIT PROCESSING	22	0.0040	0.09	N
VAULT SERVICES						
1002	100100	VAULT DEP - MIXED CURR-CHECKS	6	2.0000	12.00	B
1006	100102	VAULT DEP - CURRENCY ONLY	17	1.6000	27.20	B
1007	100103	VAULT DEP - CHECKS ONLY	1	1.6000	1.60	B
1048	100114	VAULT DEP - CASH PROCESSING	9,078	0.0019	17.25	B
1165	10022Z	VAULT DEP - DEPOSITED ITEMS	11	0.2800	3.08	B
CENTRALIZED RETURNS						
1141	100400	SPEC RETURNS ITEM PROCESSED	3	15.0000	45.00	B
1142	100402	SPEC RETURNS RECLEAR ITEM	1	7.0000	7.00	B
WIRE TRANSFER						
2300	350100	WIRE-ONLINE DOMESTIC TEMPLATE	2	16.0000	32.00	B
2301	350100	WIRE-ONLINE DOMESTIC FREEFORM	1	16.0000	16.00	B
2302	350120	WIRE-ONLINE INTERNAL TEMPLATE	2	7.0000	14.00	B
2305	350110	WIRE-ONLINE INTL USD FREEFORM	5	31.0000	155.00	B
2308	003599	WIRE-ONL INTERMED BNK FEE USD	5	20.0000	100.00	B
3530	350210	WIRE-INCOMING DOMESTIC	7	18.0000	126.00	B
3531	350210	WIRE-INCOMING INTL	1	25.0000	25.00	B
3560	350210	WIRE-DRAWDOWN REQ DOMESTIC	4	25.0000	100.00	B
3580	350320	WIRE-INCOMING INTERNAL	2	7.0000	14.00	B
REMOTE DEPOSIT-RD						
3251	101323	RDC DEPOSITS PROC - DESKTOP	46	0.9200	42.32	B
3254	101321	RDC DEBIT ITEM - DESKTOP	519	0.2300	119.37	B
3257	101332	RDC SCANNER/LOCATION COUNT	1	46.0000	46.00	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	445	0.2500	111.25	B
4260	250400	INFO RPT-ONL-ACH RET/NOC ITEMS	53	0.7500	39.75	B
4268	300525	INFO RPT-ONL-EDI MAINT RPT	20	1.7500	35.00	B

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Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	4,105	0.0600	246.30	B
TOTAL CHARGE FOR SERVICES					**4,579.46**	**B**
TOTAL NO CHARGE					**48.09**	**N**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27107

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27107

Balance Analysis

AVERAGE BALANCE	0.00
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	0.00
AVG POSITIVE COLLECTED BALANCE	0.00
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	0.00
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	0.00

Service Charge Analysis

TOTAL CHARGE FOR SERVICES		848.42
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		848.42
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27107

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
ZERO BALANCE ACCOUNTING-ZBA						
5021	010021	ZBA-ADDTL ACCOUNT	1	35.0000	35.00	B
5022	010111	ZBA-ACCT CREDITS	21	0.0000	0.00	B
ACCOUNT RECONCILEMENT						
2003	200010	DISB RECON MAINT - FULL-RANGE	1	85.0000	85.00	B
2010	200110	DISB RECON PROCESSING FULL	495	0.1100	54.45	B
BUSINESS SECURITY SUITE						
1496	150030	ACH POS PAY/CHECK POS PAY ACCT	1	76.0000	76.00	B
1520	015012	CHK/RVRS POS PAY-CHECKS PAID	495	0.0000	0.00	B
1522	150724	CHK POS PAY-EXCEPTIONS	35	1.2500	43.75	B
1522	150724	CHK POS PAY-EXCEPTIONS	20	1.2500	25.00	N
1528	150322	CHK/RVRS POS PAY-RETURNS	2	7.0000	14.00	B
1554	150030	CHK POS PAY-PAYEE MAINT	1	8.0000	8.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	457	0.0600	27.42	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	415	0.0600	24.90	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
CONTROLLED DISBURSEMENT-CDA						
1500	150000	CDA - MONTHLY MAINT	1	112.0000	112.00	B
1510	015011	CDA - CHECKS PAID	445	0.1700	75.65	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	437	0.2500	109.25	B
TOTAL CHARGE FOR SERVICES					848.42	B
TOTAL NO CHARGE					25.00	N

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27123

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27123

Balance Analysis	
AVERAGE BALANCE	0.00
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	0.00
AVG POSITIVE COLLECTED BALANCE	0.00
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	0.00
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	0.00

Service Charge Analysis		
TOTAL CHARGE FOR SERVICES		442.68
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		442.68
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27123

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2551	250200	ACH RECEIVED-DEBIT	14	0.3100	4.34	B
2552	250201	ACH RECEIVED-CREDIT	273	0.3100	84.63	B
ZERO BALANCE ACCOUNTING-ZBA						
5021	010021	ZBA-ADDTL ACCOUNT	1	35.0000	35.00	B
5022	010111	ZBA-ACCT CREDITS	1	0.0000	0.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	303	0.0600	18.18	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	263	0.0600	15.78	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	283	0.2500	70.75	B
TOTAL CHARGE FOR SERVICES					**442.68**	**B**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27136

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27136

Balance Analysis	
AVERAGE BALANCE	0.00
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	0.00
AVG POSITIVE COLLECTED BALANCE	0.00
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	0.00
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	0.00

Service Charge Analysis		
TOTAL CHARGE FOR SERVICES		298.13
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		298.13
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27136

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2552	250201	ACH RECEIVED-CREDIT	63	0.3100	19.53	B
ZERO BALANCE ACCOUNTING-ZBA						
5021	010021	ZBA-ADDTL ACCOUNT	1	35.0000	35.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	100	0.0600	6.00	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	60	0.0600	3.60	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	80	0.2500	20.00	B
TOTAL CHARGE FOR SERVICES					**298.13**	**B**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27149

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27149

Balance Analysis		**Service Charge Analysis**		
AVERAGE BALANCE	62,298.71	TOTAL CHARGE FOR SERVICES		473.93
AVERAGE FLOAT	0.00	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	62,298.71	NET CHARGE FOR SERVICES		473.93
AVG POSITIVE COLLECTED BALANCE	62,298.71	***SERVICE CHARGE AMOUNT		0.00
RESERVE REQUIRED	0.00			
AVERAGE AVAILABLE BALANCE	62,298.71			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	62,298.71			

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27149

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0110	015010	CHECKS PAID	103	0.2700	27.81	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
ACCOUNT RECONCILEMENT						
2003	200010	DISB RECON MAINT - FULL-RANGE	1	85.0000	85.00	B
2010	200110	DISB RECON PROCESSING FULL	102	0.1100	11.22	B
2034	200301	RECON RPRT TRANSMISSION MAINT	1	30.0000	30.00	B
BUSINESS SECURITY SUITE						
1496	150030	ACH POS PAY/CHECK POS PAY ACCT	1	76.0000	76.00	B
1520	015012	CHK/RVRS POS PAY-CHECKS PAID	102	0.0000	0.00	B
1522	150724	CHK POS PAY-EXCEPTIONS	1	1.2500	1.25	N
1554	150030	CHK POS PAY-PAYEE MAINT	1	8.0000	8.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	2	6.0000	12.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	2	6.0000	12.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	228	0.0600	13.68	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	94	0.2500	23.50	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	62	0.0600	3.72	B
TOTAL CHARGE FOR SERVICES					**473.93**	**B**
TOTAL NO CHARGE					**1.25**	**N**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27152

Statement Period	08/01/2026 - 08/31/2026	Statement Date	09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27152

Balance Analysis		Service Charge Analysis		
AVERAGE BALANCE	559,524.91	TOTAL CHARGE FOR SERVICES		282.70
AVERAGE FLOAT	0.00	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	559,524.91	NET CHARGE FOR SERVICES		282.70
AVG POSITIVE COLLECTED BALANCE	559,524.91			
RESERVE REQUIRED	0.00	***SERVICE CHARGE AMOUNT		0.00
AVERAGE AVAILABLE BALANCE	559,524.91			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	559,524.91			

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27152

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2551	250200	ACH RECEIVED-DEBIT	18	0.3100	5.58	B
2552	250201	ACH RECEIVED-CREDIT	8	0.3100	2.48	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
AUTOMATED CLEARING HOUSE-ACH						
2235	250101	ACH-ONLINE CREDIT ORIG	30	0.2600	7.80	B
2242	250120	ACH-ONLINE ADDENDA ORIG	13	0.0700	0.91	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	65	0.0600	3.90	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	53	0.0600	3.18	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	45	0.2500	11.25	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	560	0.0600	33.60	B
TOTAL CHARGE FOR SERVICES					**282.70**	**B**

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Account Analysis Statement		**Group:** 8XXXXXX27097		**Account:** XXXXXX27165
Statement Period	08/01/2026 - 08/31/2026	Statement Date		09/08/2026

Account Summary Section — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27165

Balance Analysis		Service Charge Analysis		
AVERAGE BALANCE	182,023.87	TOTAL CHARGE FOR SERVICES		234.84
AVERAGE FLOAT	0.00	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	182,023.87	NET CHARGE FOR SERVICES		234.84
AVG POSITIVE COLLECTED BALANCE	182,023.87	***SERVICE CHARGE AMOUNT		0.00
RESERVE REQUIRED	0.00			
AVERAGE AVAILABLE BALANCE	182,023.87			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	182,023.87			

Service Charge Detail — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27165

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2552	250201	ACH RECEIVED-CREDIT	13	0.3100	4.03	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	33	0.0600	1.98	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	11	0.0600	0.66	B
INFORMATION REPORTING						
4005	400002	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	13	0.2500	3.25	B
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	182	0.0600	10.92	B
TOTAL CHARGE FOR SERVICES					**234.84**	**B**

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Account Analysis Statement		**Group:** 8XXXXXX27097	**Account:** XXXXXX27181
Statement Period	08/01/2026 - 08/31/2026	Statement Date	09/08/2026

Account Summary Section — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27181

Balance Analysis		**Service Charge Analysis**		
AVERAGE BALANCE	24,703.46	TOTAL CHARGE FOR SERVICES		218.26
AVERAGE FLOAT	0.00	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	24,703.46	NET CHARGE FOR SERVICES		218.26
AVG POSITIVE COLLECTED BALANCE	24,703.46	***SERVICE CHARGE AMOUNT		0.00
RESERVE REQUIRED	0.00			
AVERAGE AVAILABLE BALANCE	24,703.46			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	24,703.46			

Service Charge Detail — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27181

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
AUTOMATED CLEARING HOUSE-ACH						
2235	250101	ACH-ONLINE CREDIT ORIG	1	0.2600	0.26	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	24	0.0600	1.44	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	1	0.0600	0.06	B
INFORMATION REPORTING						
4005	400002	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	4	0.2500	1.00	B
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	25	0.0600	1.50	B
TOTAL CHARGE FOR SERVICES					**218.26**	**B**

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Account Analysis Statement		**Group:** 8XXXXXX27097	**Account:** XXXXXX27204
Statement Period	08/01/2026 - 08/31/2026	Statement Date	09/08/2026

Account Summary Section — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27204

Balance Analysis		**Service Charge Analysis**		
AVERAGE BALANCE	282,348.60	TOTAL CHARGE FOR SERVICES		235.30
AVERAGE FLOAT	0.00	EARNINGS CREDIT ALLOWANCE	0.00%	0.00
AVERAGE COLLECTED BALANCE	282,348.60	NET CHARGE FOR SERVICES		235.30
AVG POSITIVE COLLECTED BALANCE	282,348.60			
RESERVE REQUIRED	0.00	***SERVICE CHARGE AMOUNT		0.00
AVERAGE AVAILABLE BALANCE	282,348.60			
BALANCE REQUIRED	0.00			
NET AVAILABLE BALANCE	282,348.60			

Service Charge Detail — **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27204

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2552	250201	ACH RECEIVED-CREDIT	1	0.3100	0.31	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	23	0.0600	1.38	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	1	0.0600	0.06	B
VAULT SERVICES						
1007	100103	VAULT DEP - CHECKS ONLY	1	1.6000	1.60	B
1165	10022Z	VAULT DEP - DEPOSITED ITEMS	1	0.2800	0.28	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	3	0.2500	0.75	B
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	282	0.0600	16.92	B
TOTAL CHARGE FOR SERVICES					**235.30**	**B**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX27217

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27217

Balance Analysis

AVERAGE BALANCE	367,733.64
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	367,733.64
AVG POSITIVE COLLECTED BALANCE	367,733.64
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	367,733.64
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	367,733.64

Service Charge Analysis

TOTAL CHARGE FOR SERVICES		244.33
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		244.33
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX27217

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2552	250201	ACH RECEIVED-CREDIT	11	0.3100	3.41	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	30	0.0600	1.80	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	9	0.0600	0.54	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	10	0.2500	2.50	B
OTHER						
7002	000230	DEPOSIT ADMINISTRATIVE FEE	368	0.0600	22.08	B
TOTAL CHARGE FOR SERVICES					**244.33**	**B**

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Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX60625

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX60625

Balance Analysis

AVERAGE BALANCE	0.00
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	0.00
AVG POSITIVE COLLECTED BALANCE	0.00
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	0.00
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	0.00

Service Charge Analysis

TOTAL CHARGE FOR SERVICES		448.03
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		448.03
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX60625

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2551	250200	ACH RECEIVED-DEBIT	4	0.3100	1.24	B
ZERO BALANCE ACCOUNTING-ZBA						
5021	010021	ZBA-ADDTL ACCOUNT	1	35.0000	35.00	B
5022	010111	ZBA-ACCT CREDITS	4	0.0000	0.00	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
AUTOMATED CLEARING HOUSE-ACH						
2500	002500	ACH MONTHLY MAINT FEE	1	70.0000	70.00	B
2504	250400	ACH RETURN ITEM NOTIF (TRANS)	4	0.0700	0.28	B
2511	250102	ACH ITEMS ORIGINATED	386	0.1100	42.46	B
2560	250302	ACH RETURN ITEM	4	7.0000	28.00	B
2565	250501	ACH TRANSMISSION	4	8.0000	32.00	B
2583	251070	ACH NOTICE OF CHANGE	1	5.0000	5.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	2	6.0000	12.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	2	6.0000	12.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	66	0.0600	3.96	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	14	0.0600	0.84	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	13	0.2500	3.25	B
TOTAL CHARGE FOR SERVICES					448.03	B

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0057312 CLEVELAND STATE UNIVERSITY
NEW ST REF CONTROLLER OFFICE AC257A
2121 EUCLID AVE
CLEVELAND OH 44115-2226

Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX95043

Statement Period	08/01/2026 - 08/31/2026	Statement Date	09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX95043

Balance Analysis

AVERAGE BALANCE	0.00
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	0.00
AVG POSITIVE COLLECTED BALANCE	0.00
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	0.00
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	0.00

Service Charge Analysis

TOTAL CHARGE FOR SERVICES		1,772.32
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		1,772.32
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX95043

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2551	250200	ACH RECEIVED-DEBIT	6	0.3100	1.86	B
ZERO BALANCE ACCOUNTING-ZBA						
5021	010021	ZBA-ADDTL ACCOUNT	1	35.0000	35.00	B
5022	010111	ZBA-ACCT CREDITS	20	0.0000	0.00	B
ACCOUNT RECONCILEMENT						
2003	200010	DISB RECON MAINT - FULL-RANGE	1	85.0000	85.00	B
2010	200110	DISB RECON PROCESSING FULL	899	0.1100	98.89	B
BUSINESS SECURITY SUITE						
1496	150030	ACH POS PAY/CHECK POS PAY ACCT	1	76.0000	76.00	B
1520	015012	CHK/RVRS POS PAY-CHECKS PAID	899	0.0000	0.00	B
1522	150724	CHK POS PAY-EXCEPTIONS	6	1.2500	7.50	N
1554	150030	CHK POS PAY-PAYEE MAINT	1	8.0000	8.00	B
AUTOMATED CLEARING HOUSE-ACH						
2500	002500	ACH MONTHLY MAINT FEE	1	70.0000	70.00	B
2504	250400	ACH RETURN ITEM NOTIF (TRANS)	17	0.0700	1.19	B
2511	250102	ACH ITEMS ORIGINATED	3,285	0.1100	361.35	B
2560	250302	ACH RETURN ITEM	17	7.0000	119.00	B
2564	250642	ACH REVERSAL OR DELETE	1	42.0000	42.00	B

Service Charge Detail (Continued) **PUB FUNDS CML INTEREST CHECKING:** XXXXXX95043

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
2565	250501	ACH TRANSMISSION	7	8.0000	56.00	B
2583	251070	ACH NOTICE OF CHANGE	12	5.0000	60.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	861	0.0600	51.66	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	819	0.0600	49.14	B
CONTROLLED DISBURSEMENT-CDA						
1500	150000	CDA - MONTHLY MAINT	1	112.0000	112.00	B
1510	015011	CDA - CHECKS PAID	894	0.1700	151.98	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	841	0.2500	210.25	B
TOTAL CHARGE FOR SERVICES					**1,772.32**	**B**
TOTAL NO CHARGE					**7.50**	**N**

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CLEVELAND OH 44115-2226

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or to Huntington Business Direct at
(800) 480-2001

Account Analysis Statement **Group:** 8XXXXXX27097 **Account:** XXXXXX95056

Statement Period 08/01/2026 - 08/31/2026 Statement Date 09/08/2026

Account Summary Section **PUB FUNDS CML INTEREST CHECKING:** XXXXXX95056

Balance Analysis

AVERAGE BALANCE	130.79
AVERAGE FLOAT	0.00
AVERAGE COLLECTED BALANCE	130.79
AVG POSITIVE COLLECTED BALANCE	130.79
RESERVE REQUIRED	0.00
AVERAGE AVAILABLE BALANCE	130.79
BALANCE REQUIRED	0.00
NET AVAILABLE BALANCE	130.79

Service Charge Analysis

TOTAL CHARGE FOR SERVICES		1,238.27
EARNINGS CREDIT ALLOWANCE	0.00%	0.00
NET CHARGE FOR SERVICES		1,238.27
***SERVICE CHARGE AMOUNT		0.00

Service Charge Detail **PUB FUNDS CML INTEREST CHECKING:** XXXXXX95056

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
GENERAL ACCOUNT SERVICES						
0104	010307	PAPERLESS STATEMENT	1	0.0000	0.00	B
0147	010000	MONTHLY SERVICE FEE-CML INT	1	46.0000	46.00	B
2551	250200	ACH RECEIVED-DEBIT	21	0.3100	6.51	B
BUSINESS SECURITY SUITE						
1494	150050	ACH POS PAY/CHECK BLOCK ACCT	1	31.0000	31.00	B
AUTOMATED CLEARING HOUSE-ACH						
2500	002500	ACH MONTHLY MAINT FEE	1	70.0000	70.00	B
2504	250400	ACH RETURN ITEM NOTIF (TRANS)	49	0.0700	3.43	B
2511	250102	ACH ITEMS ORIGINATED	2,467	0.1100	271.37	B
2560	250302	ACH RETURN ITEM	49	7.0000	343.00	B
2565	250501	ACH TRANSMISSION	21	8.0000	168.00	B
2571	250610	ACH ITEM REPAIR	4	22.0000	88.00	B
2583	251070	ACH NOTICE OF CHANGE	12	5.0000	60.00	B
BUSINESS ONLINE-BOL						
3832	400052	BOL COMP IR PREVIOUS DAY ACCT	1	6.0000	6.00	B
3833	400055	BOL COMP IR CURRENT DAY ACCT	1	6.0000	6.00	B
3834	400272	BOL COMP IR PREVIOUS DAY ITEMS	54	0.0600	3.24	B
3835	400275	BOL COMP IR CURRENT DAY ITEMS	37	0.0600	2.22	B
INFORMATION REPORTING						
4005	40000Z	INFO RPT-TRANS - MONTHLY MAINT	1	20.0000	20.00	B

Service Code	AFP Code	Service Description	Volume	Unit Price	Charge for Service	Charge Code
4006	400222	INFO RPT-TRANS - PREV DAY SUMM	300	0.3500	105.00	B
4007	400222	INFO RPT-TRANS - PREV DAY DETL	34	0.2500	8.50	B
TOTAL CHARGE FOR SERVICES					**1,238.27**	**B**

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Cleveland State University

Operating Funds

Investment Policy Statement

APPROVED BY BOARD OF TRUSTEES

APPROVED: May 21,2026

Purpose

This Investment Policy Statement ("Statement") details the policies, procedures, asset allocation, and guidelines for investment of Cleveland State University's Operating Funds ("Portfolio"), which is comprised of Short-Term Funds and the Long-Term Pool, as established by Cleveland State University's Board of Trustees ("Trustees"). It defines and assigns the responsibilities of all parties involved. The Statement is intended to be sufficiently specific to be meaningful, yet flexible enough to be practical.

The investment policies and restrictions presented in this Statement serve as a framework to achieve the investment objectives at a level of risk deemed acceptable. These policies and restrictions are designed to mitigate interference with efforts to attain overall objectives and to minimize the potential of excluding any appropriate investment opportunities.

Investment Objectives

The overall long-term investment objective of the Portfolio is to preserve capital and maintain sufficient liquidity for the University to support operational needs. Secondarily, the Portfolio seeks moderate growth to appreciate investments at a rate greater than spending and keep up with inflation over a market cycle, generally 7-10 years.

Portfolio Segmentation Structure

The University's Operating Funds ("Portfolio") is comprised of Short-Term Funds and the Long-Term Pool. The University targets 30% of the Portfolio in qualifying cash equivalents and short-term fixed income to meet the liquidity needs of the University and comply with Ohio Revised Code. The Investment Committee will monitor the total cash and short-term fixed income balance and work with the Investment Advisor to adjust as needed. If the Portfolio is not allocated 30% or more to cash equivalents and short-term fixed income, the Committee, and the Investment Advisor will develop a plan to rebalance the Portfolio over a reasonable period. It is also recognized that operational cash flows for the University can be irregular, and the Committee considers the balances on average overtime.

SHORT-TERM FUNDS

The Short-Term Funds may be managed internally or by one or more fixed-income investment managers provided that sufficient liquidity is maintained to meet the objectives of the pool.

Assets in the Short-Term Funds shall be invested in Cash Equivalents and Short-Term Fixed Income Investments that qualify under the Ohio Revised Code requirements stated later in this Policy.

LONG-TERM POOL

The assets in this pool will be invested with a long-term time horizon and seek value appreciate over time. While still operating reserves of the University, this pool is managed as a quasi-endowment.

Compliance with Ohio Revised Code

The University intends to fully comply with all applicable laws and regulations.

1. Funds may be invested according to the provision of Ohio Revised Code 3345.05. Specifically:
    a. A stipulation that investment of at least twenty-five per cent of the average amount of the investment portfolio over the course of the previous fiscal year be invested in securities of the United States government or of its agencies or instrumentalities, the treasurer of state's pooled investment program, obligations of this state or any political subdivision of this state, certificates of deposit of any national bank located in this state, written repurchase agreements with any eligible Ohio financial institution that is a member of the federal reserve system or federal home loan bank, money market funds, or bankers acceptances maturing in two hundred seventy days or less which are eligible for purchase by the federal reserve system, as a reserve;
    b. Eligible funds above those that meet the conditions of division (C)(1) of this section may be pooled with other institutional funds and invested in accordance with section 1715.52 of the Revised Code.
    c. The establishment of an investment committee.
    d. The investment committee established under division (C)(3) of this section shall meet at least quarterly. The committee shall review and recommend revisions to the board's investment policy and shall advise the board on its investments made under division (C) of this section in an effort to assist it in meeting its obligations as a fiduciary as described in division (C) of this section. The committee shall be authorized to retain the services of an investment advisor who meets both of the following qualifications:
    e. The investment advisor is either:
        i. Licensed by the division of securities under section 1707.141 of the Revised Code;
        ii. Registered with the securities and exchange commission.
        iii. The advisor either:
            1. Has experience in the management of investments of public funds, especially in the investment of state-government investment portfolios
            2. Is an eligible institution referenced in section 135.03 of the Revised Code.

Spending Policy

The guidelines for the spending of the Portfolio are detailed in a separate Spending Policy.

Duties and Responsibilities

BOARD OF TRUSTEES

The Board of Trustees is responsible for approving the Investment Policy Statement and may delegate the implementation of the Statement to the Investment Committee.

INVESTMENT COMMITTEE

As delegated by the Trustees, the Investment Committee ("Committee") will consist of the Chair of the Financial Affairs Committee of the Board of Trustee or designee, the Chief Financial Officer, and the Controller. The Board can designate others to be members of the Committee. The Committee is responsible for ensuring that the investment process is managed in a prudent manner, seeking to meet the Portfolio's investment objectives. The Committee will review and recommend revisions of this Policy to the Trustees and will retain the services of an Investment Advisor.

INVESTMENT ADVISOR

The Investment Advisor is responsible for the following:

- Monitor the performance of the Portfolio to determine if the collective investment strategy is meeting objectives.
- Perform strategic asset allocation analysis from time to time to determine if the current strategy is appropriate to meet the investment objectives.
- Recommend tactical changes following the investment process of the firm to the Investment Committee and implement the decision of the Investment Committee.
- Perform due diligence on Investment Managers to determine their continued suitability within the Portfolio.
- Recommend the hiring, terminating, or replacement of Investment Managers to the Investment Committee and implement the decision of the Investment Committee.
- Provide performance reports to help the Investment Committee evaluate each Investment Manager and the results of the overall Portfolio.
- Assess overall investment fees of the Portfolio.

INVESTMENT DECISION AUTHORITY

The Board of Trustee has delegated decision making authority, or discretion, to the Investment Committee. This authority permits making tactical asset allocation adjustments, raising or investing cash, and retaining and replacing investment managers. All of the guidelines stated within this Policy must be met when making investment decisions. Any decision sought outside of the Policy parameters herein stated must have prior approval from the Trustees.

INVESTMENT MANAGERS

The Portfolio shall engage professional Investment Managers for the direct investment management of the Portfolio's assets, including separately managed accounts, mutual funds, comingled funds, and partnerships. Each Investment Manager has discretion to purchase, sell, or hold the specific securities within their specific investment mandate.

- Voting of proxies
  - Investment managers are responsible for voting proxies which should be made in the best interest of investors.
- Execution of security trades
  - The Investment Manager is expected to transact securities in a manner designed to receive a combination of best price and execution.

CUSTODIAN

The Custodian will physically maintain possession of securities owned by the Portfolio, settle transactions, collect dividend and interest payments, and redeem maturing securities. The Custodian shall also perform regular accounting of all assets owned, purchased, or sold, as well as movement of assets into and out of the Portfolio accounts. The Custodian is responsible for providing monthly statements. Access to online balances and statements should be made available.

Diversification & Asset Class Purpose

The total Portfolio will be constructed and maintained to provide prudent diversification regarding Investment Managers, styles, regions, sectors, and number of holdings. Asset classes, or broad segments of the Portfolio, serve varying purposes as described below:

- The purpose of the Growth Assets allocation is to provide a total return that will simultaneously provide for growth in principal and current income sufficient to support the Portfolio, while at the same time preserving the purchasing power of the Portfolio's assets. It is recognized that the Growth Assets allocation entails the assumption of greater market variability and risk.
- The purpose of the Diversifying Assets allocation is to provide diversification, risk reduction, as well as enhance the overall risk-adjusted performance.
- The purpose of the Income & Liquidity allocation is to provide a deflation hedge, to reduce the overall volatility, and to produce current income in support of the needs of the Portfolio.
- The purpose of the Cash allocation is to provide liquidity for short-term obligations. All cash and equivalent investments should be made with concern for quality. High return is desirable, but the highest possible investment return should be sacrificed where quality is considered questionable.

Long-Term Pool Asset Allocation Guidelines

The asset allocation targets for the Long-Term Pool are as follows:

Asset Class*	Target Allocation	Allowable Range
Growth Assets	**55%**	**45% - 65%**
Diversifying Assets	**15%**	**5% - 25%**
Income & Liquidity	**30%**	**20% - 40%**
Total	**100%**	

Monitoring Portfolio Performance

Performance results will be measured in three ways over a full business cycle, generally 7-10 years:

1. The investment objective of the Portfolio
2. A blended benchmark of market indices based on the strategic asset allocation of the Portfolio
3. Peer universe of similar sized peers (if applicable)

Permissible Investments

The Portfolio may be invested in the types of investments listed in the chart below. Mutual funds are bound to their prospectus guidelines and not this Statement.

Growth Assets	Diversifying Assets
▶ Common stocks ▶ Convertible preferred stocks ▶ American depository receipts (ADRs) of non-U.S. companies ▶ Stocks of non-U.S. companies (Ordinary shares) ▶ Equity mutual funds and exchange traded funds	▶ Real estate investment trusts (REITs) ▶ Tactical asset allocation strategies ▶ Absolute return strategies ▶ Long-short equity and fixed income strategies ▶ Macro strategies ▶ Private debt strategies ▶ Mutual funds and exchange traded funds
Income & Liquidity Assets	**Cash Equivalents**
▶ U.S. government and agency securities ▶ Municipal bonds ▶ Corporate notes and bonds ▶ Mortgage backed bonds ▶ Preferred stock ▶ Fixed income securities of foreign governments and corporations ▶ Fixed income mutual funds and exchange traded funds	▶ Treasury bills ▶ Commercial paper ▶ Banker's acceptances ▶ Repurchase agreements ▶ Certificates of deposit ▶ Deposit accounts ▶ Money market mutual funds and exchange traded funds

Selection of Investments and Managers

There is intention to retain Investment Managers who meet the following minimum attributes to manage the Portfolio:

- The institution should be a bank, insurance company, investment management company, or an investment adviser under the Registered Investment Advisers Act of 1940
- The institution should be operating in good standing with regulators and clients, with no material pending or concluded legal actions
- The institution should provide detailed information on the history of the firm, its investment philosophy and approach, and its principals, clients, locations, fee schedules, and other relevant information to the Investment Consultant

Assuming the minimum criteria are met, the particular Investment Manager under consideration should meet the following standards:

- Performance reporting should comply with the CFA Institute's Global Investment Performance Standards (GIPS)

- Risk and risk–adjusted return measures should be evaluated and be within a reasonable range relative to an appropriate, style-specific benchmark and peer group
- Fees should be competitive compared to similar investments

Removal of an Investment Manager

There may be circumstances which dictate reason to removal an Investment Manager. The following are general guidelines which may give reason to remove an Investment Manager:

- Failure to comply with the investment guidelines
- Underperformance relative to relevant benchmarks or peer groups, with an emphasis on long-term (three and five year) rolling time periods
- Significant qualitative changes to the investment management organization

Each Investment Manager shall be reviewed ongoing in regards to performance, personnel, strategy, research capabilities, organizational and business matters, and other qualitative factors that may impact its ability to achieve the desired investment results. If the Investment Manager has failed to adhere to one or more of the above conditions, it is reasonable to presume a lack of adherence going forward. Any decision to remove an Investment Manager will be treated on an individual basis, and will not be made solely based on quantitative data. In addition to those above, other factors may include professional or client turnover, or material change to investment processes. Considerable judgment must be exercised in the removal decision process.

Statement of Investment Policy Review

To assure the continued relevance of the guidelines and objectives as established in this investment policy statement, the Investment Committee should review the investment policy annually, or as deemed necessary, and provide any recommended changes to the Trustees.

Presentation to the Board of Trustees

On a regular basis the Investment Committee will provide updates to the Board of Trustees on the performance of the investments.

On an annual basis the Investment Advisor and Investment Committee will provide an update to the Board of Trustees on the performance of the investments.

Administrative Notes

- February 2011 - Changed Trustees to Investment Committee in Investment Policy. Added #5 paragraph under Guidelines for the Fixed Income and added Core to fixed income.
- September 2012 - Changed the asset allocation to reduce Large Cap from 22% to 20% and increased Multi-Class from 10% to 12%.
- March 2013 - Changed the asset allocation to increase equities and multi-class and reduce exposure to fixed income.
- February 2017 - Page 5 under Guidelines for Fixed Income, paragraph, changed Barclays Intermediate Government/Credit Bond Index to Barclays Aggregate Bond Index.

- April 2020 – Moved all funds to cash from the investments due to concerns related to the COVID pandemic. The administration felt this was in the best interest of the university.
- February 2021 – Reviewed the IPS. No changes were made.
- February 2023 – Reviewed the IPS. No changes were made.
- February 2024 – Reviewed the IPS. No changes were made.
- May 21, 2026- CSU Board of Trustees approved revised Investment Policy

Insurance Requirements for Contracts

Service provider shall provide and maintain, until all of their obligations, including any warranty periods under this Project, are satisfied, insurance against claims for injury to persons or damage to property which may arise from or in connection with the performance of the work hereunder by the Service Provider, its agents, representatives. employees or subcontractors.

The insurance requirements herein are minimum requirements for this Project and in no way limit the indemnity covenants contained for the Contract. The University in no way warrants that the minimum limits contained herein are sufficient to protect the Service Provider from liabilities that might arise out of the performance of the work under this Contract by the Service Provider, its agents, representatives, employees or subcontractors, and Service Provider is free to purchase additional insurance.

A. **MIMIMUM SCOPE AND LIMITS OF INSURANCE:** Service Provider shall procure and maintain the minimum insurance coverage's set forth below.

1. **Commercial General Liability**
   Policy shall include bodily injury, property damage, personal injury, contractual liability, fire legal liability, medical payments coverage, and sexual molestation/abuse if vendor is interacting with minors.
   - Each Occurrence $1,000,000
   - General Annual Aggregate $2,000,000
   - Products – Completed Operations Annual Aggregate $2,000,000

2. **Business Automobile Liability**
   Bodily Injury and Property Damage for any owned, leased, hired and non-owned vehicles used in the performance of this Project.
   - Combined Single Limit $1,000,000

3. **Workers' Compensation/Employers' Liability**
   Workers' Compensation for losses arising from work performed by or on behalf of the Service Provider.
   - State Fund or Self-Insurance Statutory
   - Employers' Liability $1,000,000

4. **Professional Liability (Errors and Omissions) – if applicable**
   Policy for licensed or certified professionals, such as accounts, architects, consultants, and investment consultants. Policy shall be appropriate to the Service Provider's profession, and coverage shall be maintained for a period of three-years after contract term, if coverage is on a claims-made basis.
   - Each Occurrence or Claim $1,000,000
   - General Aggregate $2,000,000

5. **Liquor Liability – if applicable**
   Policy for Service Provider distributing, selling or serving alcoholic beverages. Coverage may be provided under Service Provider's Commercial General Liability policy or by way of a separate policy.
   - Each Occurrence $1,000,000
   - General Aggregate $1,000,000

6. **Crime Coverage – if applicable**
   Policy for Service Provider with access to cash or payments, networks, or outsourced services such as custodial, building management, dining, etc.
   - Limits depend upon type of contract

7. **Cyber Liability – if applicable**
   Policy for Service Provider who has access to credit card information, student or employee records, health records, or any other Personally Identifiable or Protected Health information.
   - Each event, including Breach Response or Event Services $5,000,000

8. **Pollution Liability – if applicable**
   Depends upon type of contract

B. **ADDITIONAL REQUIREMENTS:** All Policies shall meet the below requirements
   a. Shall be endorsed to apply on a primary basis, non-contributory with any other insurance coverages and/or self-insurance carried by the University.
   b. Shall be written on an occurrence basis (professional and pollution liability can be on a claims-made basis). Exceptions should be noted to University
   c. Except for Workers' Compensation and Employers' Liability insurance, shall be endorsed to include University and its governing board, officers, agents and employees as additional insured with respect to liability arising out of the activities performed by or on behalf of the Service Provider. This is evidenced on the Certificate of Insurance as well as a copy of the endorsement to the Service Provider's insurance.
   d. Shall contain a waiver of subrogation in favor of University and its governing board, officers, agents and employees for losses arising from work performed by or on behalf of the Service Provider.
   e. Limits can be met with a combination of primary and/or excess/umbrella coverage or equivalent.
   f. Coverage provided by the Service Provider shall not be limited to the liability assumed under the indemnification provisions of this project.

C. **NOTICE OF CANCELLATION:** Each insurance policy required by the insurance provisions of this Contract shall provide the required coverage and shall not be suspended, voided, cancelled, or reduced in coverage or in limits except after thirty (30) days prior written notice has been given to the University. Such notice shall be sent directly to the University Risk Management department.

D. **ACCEPTABILITY OF INSURERS:** Insurance is to be placed with duly licensed or approved non-admitted insurers in the State of Ohio with an "A.M. Best" rating of not less than A- VII.

E. **VERIFICATION OF COVERAGE:** Service Provider shall furnish the University with certificates of insurance (ACORD form or equivalent) as required by this Project, along with a copy of required policy endorsements upon request. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. Employers covered for Worker' Compensation through the State Fund shall provide a copy of their current "Certificate of Premium Payment" to evidence they have coverage.

   All certificates and endorsements are to be received and approved by the University before work commences. Each insurance policy required by this Project must be in effect at or prior to commencement of work under this Project and remain in effect for the duration of the project. Failure to maintain the insurance policies as required by this Project, or to provide evidence of renewal, is a material breach of contract.

F. **SUBCONTRACTORS:** Service Providers' certificate(s) shall evidence that all subcontractors are included as insureds under its policies or Service Provider shall furnish to the University separate certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to the minimum requirements identified above.

G. **<u>DOCUMENT SUBMISSION:</u>** Certificates of Insurance, copies of required endorsements, notices of cancellations, and any other documentation as required by the insurance provisions of this Project shall be sent to University.

Payment Card Industry
Data Security Standard

Attestation of Compliance for Report on Compliance – Service Providers

Version 4.0.1

Publication Date: August 2024

PCI DSS v4.0.1 Attestation of Compliance for Report on Compliance – Service Providers

Entity Name: Transact Campus Payments, Inc. dba Transact Payments

Date of Report as noted in the Report on Compliance: November 30, 2024

Date Assessment Ended: November 15, 2024

Section 1: Assessment Information

Instructions for Submission

This Attestation of Compliance (AOC) must be completed as a declaration of the results of the service provider's assessment against the *Payment Card Industry Data Security Standard (PCI DSS) Requirements and Testing Procedures* ("Assessment"). Complete all sections. The service provider is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the entity(ies) to which this AOC will be submitted for reporting and submission procedures.

This AOC reflects the results documented in an associated Report on Compliance (ROC). Associated ROC sections are noted in each AOC Part/Section below.

Capitalized terms used but not otherwise defined in this document have the meanings set forth in the PCI DSS Report on Compliance Template.

Part 1. Contact Information	
Part 1a. Assessed Entity (ROC Section 1.1)	
Company name:	Transact Campus Payments, Inc. ("Transact")
DBA (doing business as):	Transact Payments
Company mailing address:	18700 Hayden Rd Suite 230, Scottsdale AZ 85255
Company main website:	https://www.transactcampus.com
Company contact name:	David Shaw
Company contact title:	Chief Information Security Officer
Contact phone number:	866-259-6296
Contact e-mail address:	david.shaw@transactcampus.com
Part 1b. Assessor (ROC Section 1.1)	
Provide the following information for all assessors involved in the Assessment. If there was no assessor for a given assessor type, enter Not Applicable.	
PCI SSC Internal Security Assessor(s)	
ISA name(s):	Not Applicable.
Qualified Security Assessor	
Company name:	360 Advanced, Inc.
Company mailing address:	200 Central Ave., Suite 2100, St Petersburg, FL 33701
Company website:	https://www.360advanced.com
Lead Assessor name:	Phillip Hagan
Assessor phone number:	1-866-418-1708
Assessor e-mail address:	phagan@360advanced.com
Assessor certificate number:	204-876

Part 2. Executive Summary

Part 2a. Scope Verification

Services that were INCLUDED in the scope of the Assessment (select all that apply):

Name of service(s) assessed:	Transact Payments - Payment Processing Suite	
Type of service(s) assessed:		
Hosting Provider: ☐ Applications / software ☐ Hardware ☐ Infrastructure / Network ☐ Physical space (co-location) ☐ Storage ☐ Web-hosting services ☐ Security services ☐ 3-D Secure Hosting Provider ☐ Multi-Tenant Service Provider ☐ Other Hosting (specify):	**Managed Services:** ☐ Systems security services ☐ IT support ☐ Physical security ☐ Terminal Management System ☐ Other services (specify):	**Payment Processing:** ☒ POI / card present ☒ Internet / e-commerce ☐ MOTO / Call Center ☐ ATM ☐ Other processing (specify):
☐ Account Management	☐ Fraud and Chargeback	☒ Payment Gateway/Switch
☐ Back-Office Services	☐ Issuer Processing	☐ Prepaid Services
☐ Billing Management	☐ Loyalty Programs	☐ Records Management
☐ Clearing and Settlement	☐ Merchant Services	☐ Tax/Government Payments
☐ Network Provider		
☐ Others (specify):		

Note: *These categories are provided for assistance only and are not intended to limit or predetermine an entity's service description. If these categories do not apply to the assessed service, complete "Others." If it is not clear whether a category could apply to the assessed service, consult with the entity(ies) to which this AOC will be submitted.*

Part 2. Executive Summary *(continued)*

Part 2a. Scope Verification *(continued)*

Services that are provided by the service provider but were NOT INCLUDED in the scope of the Assessment (select all that apply):

Name of service(s) not assessed:	Not Applicable.
Type of service(s) not assessed:	

Hosting Provider:	**Managed Services:**	**Payment Processing:**
☐ Applications / software ☐ Hardware ☐ Infrastructure / Network ☐ Physical space (co-location) ☐ Storage ☐ Web-hosting services ☐ Security services ☐ 3-D Secure Hosting Provider ☐ Multi-Tenant Service Provider ☐ Other Hosting (specify):	☐ Systems security services ☐ IT support ☐ Physical security ☐ Terminal Management System ☐ Other services (specify):	☐ POI / card present ☐ Internet / e-commerce ☐ MOTO / Call Center ☐ ATM ☐ Other processing (specify):
☐ Account Management	☐ Fraud and Chargeback	☐ Payment Gateway/Switch
☐ Back-Office Services	☐ Issuer Processing	☐ Prepaid Services
☐ Billing Management	☐ Loyalty Programs	☐ Records Management
☐ Clearing and Settlement	☐ Merchant Services	☐ Tax/Government Payments
☐ Network Provider		
☐ Others (specify):		

Provide a brief explanation why any checked services were not included in the Assessment:	

Part 2b. Description of Role with Payment Cards (ROC Sections 2.1 and 3.1)	
Describe how the business stores, processes, and/or transmits account data.	Transact maintains Transact Payments in a segmented cardholder data environment (CDE) located solely in the Microsoft Azure cloud environment. These segments include Internet-facing cloud infrastructure services (load balancers, security groups, and virtual private clouds) and internal virtual servers comprising of web servers, database servers, virtual security appliances, and job servers. Cardholders connect to the Transact Payments web servers through designated load balancers with web inspection performed using Barracuda CloudGen Firewall virtual appliances. The cardholder enters the payment information into the web server which passes the information through the load balancers to the Monetra servers. Monetra servers contact the appropriate authorization network, determined by the school's chosen processor (e.g., Global Payments, First Data, etc.), to obtain authorization via a TLS encrypted internet gateway. Both the Transact Payments and Monetra servers store information regarding the transaction, including encrypted PAN using AES 256-bit encryption, but not SAD in the SQL 2019 DB.
Describe how the business is otherwise involved in or has the ability to impact the security of its customers' account data.	Transact, headquartered in Scottsdale, AZ, is a Level 1 service provider that provides a variety of financial products for use by Universities and Colleges throughout the United States. Specifically, Transact has developed and manages the Transact Payments application. Transact Payments is an application that Transact markets to universities and colleges as a software-as-a-service (SaaS) solution. Transact Payments provides Universities and Colleges the ability to customize a payment web page, which is used to securely accept online payments for tuition, charges, and fees from students using their credit card or an ACH transfer. Transact Payments also allows students to set up recurring payments and authorize other users (such as their parents) to make payments on their behalf. Transact Payments allows universities to securely accept electronic payments via a web page and obtain savings due to Transact's high volume of transactions.
Describe system components that could impact the security of account data.	Web Servers, Database Servers, Virtual Firewalls, Web Application Firewalls, IDS/IPS, FIM, Endpoint Protection, SIEM/Logging Platform

Part 2c. Description of Payment Card Environment

Provide a high-level description of the environment covered by this Assessment. *For example:* • *Connections into and out of the cardholder data environment (CDE).* • *Critical system components within the CDE, such as POI devices, databases, web servers, etc., and any other necessary payment components, as applicable.* • *System components that could impact the security of account data.*	The Transact Payments payment gateway accepts transactions originating from a card-swipe terminal located within the customer environment. The terminal (the current recommended model is the Ingenico Lane/3000) is owned and operated by the customer, not Transact. Transactions originating from the customer are received by the Transact Payments web interface, translated into a format required by the Monetra payment switch, and forwarded to the appropriate payment processor for transaction authorization. At the school, a user may also manually key-in card information (PAN & expiry) using a manual-entry screen within the Transact Payments application. Once the manually keyed transaction is received by the Transact Payments gateway, the transaction is processed in the same manner as above. The Transact Payments payment gateway receives magnetic stripe or EMV chip data from the swipe device as part of the transaction message. Track data is retained in volatile memory and packaged for presentation to the Monetra payment switch for processing. Monetra is a PCI SFF validated payment application and does not retain track data during processing. Transact Payments retains track data in volatile memory for the duration of the transaction and purges the data from memory upon completion. At no time is track data written to persistent storage. The Transact Payments payment gateway supports card-not-present transactions via the Transact Payments web site. Consumer users (students, parents, alumni) use the website to make payments for tuition, account balances, bookstore purchases, donations, or other school business. During the user's session, payment information is collected (including the card security code) from the user. The Transact Payments payment gateway accepts the transaction from the website once the user submits the payment information, then formats the transaction message for presentation to the Monetra payment switch, which routes the transaction to the appropriate payment processor. The Transact Payments payment gateway receives card security codes from the web site user as part of the transaction message. Card security codes are retained in volatile memory and packaged for presentation to the Monetra payment switch for processing. Monetra is a PA-DSS validated payment application and does not retain card security codes during processing. Transact Payments retains card security codes in volatile memory for the duration of the

	transaction and purges the data from memory upon completion. At no time are card security codes written to persistent storage. Transact accepts Visa, MasterCard, American Express, JCB, and Discover.

Indicate whether the environment includes segmentation to reduce the scope of the Assessment. (Refer to the "Segmentation" section of PCI DSS for guidance on segmentation)	☒ Yes ☐ No

Part 2d. In-Scope Locations/Facilities
(ROC Section 4.6)

List all types of physical locations/facilities (for example, corporate offices, data centers, call centers and mail rooms) in scope for this Assessment.

Facility Type	**Total Number of Locations** (How many locations of this type are in scope)	**Location(s) of Facility** (city, country)
Example: Data centers	*3*	*Boston, MA, USA*
Data Centers	2	Microsoft Azure (US-East and US-West)

Part 2. Executive Summary *(continued)*

Part 2e. PCI SSC Validated Products and Solutions (ROC Section 3.3)

Does the entity use any item identified on any PCI SSC Lists of Validated Products and Solutions*?

☒ Yes ☐ No

Provide the following information regarding each item the entity uses from PCI SSC's Lists of Validated Products and Solutions:

Name of PCI SSC validated Product or Solution	Version of Product or Solution	PCI SSC Standard to which Product or Solution Was Validated	PCI SSC Listing Reference Number	Expiry Date of Listing
Monetra	9.14.0	Secure Software Standard v1.1	22-45.01232.001	October 1, 2025

* For purposes of this document, "Lists of Validated Products and Solutions" means the lists of validated products, solutions, and/or components, appearing on the PCI SSC website (www.pcisecuritystandards.org) (for example, 3DS Software Development Kits, Approved PTS Devices, Validated Payment Software, Point to Point Encryption (P2PE) solutions, Software-Based PIN Entry on COTS (SPoC) solutions, Contactless Payments on COTS (CPoC) solutions), and Mobile Payments on COTS (MPoC) products.

Part 2. Executive Summary *(continued)*

Part 2f. Third-Party Service Providers (ROC Section 4.4)

For the services being validated, does the entity have relationships with one or more third-party service providers that:

• Store, process, or transmit account data on the entity's behalf (for example, payment gateways, payment processors, payment service providers (PSPs, and off-site storage))	☒ Yes ☐ No
• Manage system components included in the entity's Assessment (for example, via network security control services, anti-malware services, security incident and event management (SIEM), contact and call centers, web-hosting companies, and IaaS, PaaS, SaaS, and FaaS cloud providers)	☒ Yes ☐ No
• Could impact the security of the entity's CDE (for example, vendors providing support via remote access, and/or bespoke software developers).	☒ Yes ☐ No

If Yes:

Name of Service Provider:	Description of Services Provided:
Microsoft Azure	Cloud hosted infrastructure and services supporting the Transact Payments application
Elavon North America	Transaction processing
Fiserv Global Business Solutions dba First Data Merchant Services	Transaction processing
Global Payments Direct, Inc.	Transaction processing

***Note:** Requirement 12.8 applies to all entities in this list.*

Part 2. Executive Summary *(continued)*

Part 2g. Summary of Assessment (ROC Section 1.8.1)

Indicate below all responses provided within each principal PCI DSS requirement.

For all requirements identified as either "Not Applicable" or "Not Tested," complete the "Justification for Approach" table below.

***Note:** One table to be completed for each service covered by this AOC. Additional copies of this section are available on the PCI SSC website.*

Name of Service Assessed: Transact Payments - Payment Processing Suite

PCI DSS Requirement	Requirement Finding More than one response may be selected for a given requirement. Indicate all responses that apply.				Select If a Compensating Control(s) Was Used
	In Place	Not Applicable	Not Tested	Not In Place	
Requirement 1:	☒	☒	☐	☐	☐
Requirement 2:	☒	☒	☐	☐	☐
Requirement 3:	☒	☒	☐	☐	☐
Requirement 4:	☒	☒	☐	☐	☐
Requirement 5:	☒	☒	☐	☐	☐
Requirement 6:	☒	☒	☐	☐	☐
Requirement 7:	☒	☒	☐	☐	☐
Requirement 8:	☒	☒	☐	☐	☐
Requirement 9:	☒	☒	☐	☐	☐
Requirement 10:	☒	☒	☐	☐	☐
Requirement 11:	☒	☒	☐	☐	☐
Requirement 12:	☒	☒	☐	☐	☐
Appendix A1:	☐	☒	☐	☐	☐
Appendix A2:	☐	☒	☐	☐	☐

Justification for Approach

For any Not Applicable responses, identify which sub-requirements were not applicable and the reason.	1.2.6 Not Applicable. No insecure services, protocols, or ports were permitted. 2.2.5 Not Applicable. Transact did not enable insecure services, daemons, or protocols including SSL / early TLS within the cardholder data environment.

	2.3.1, 2.3.2 Not Applicable. The TS SaaS CDE resided solely within data centers managed by Microsoft Azure, a PCI-compliant Level 1 Service Provider, which prohibited wireless connections and implemented controls to satisfy this requirement within its own PCI assessment. Transact reviews the Attestation of Compliance for Microsoft Azure (currently dated March 7, 2024, against PCI DSS v4.0) on an annual basis to ensure that compliance is maintained for this requirement. 3.3.2 Not Applicable. Transact does not store SAD. 3.3.3 Not Applicable. Transact is neither an issuer nor does it offer issuing support services. In addition, Transact does not store sensitive authentication data such as card validation codes / values, full track data, PINs, and PIN blocks. 3.4.2, 5.2.3.1, 5.3.2.1, 5.3.3, 6.4.3, 7.2.4, 7.2.5, 7.2.5.1, 8.6.1, 8.6.3, 10.4.2.1, 11.3.1.1, 11.4.7, 11.5.1.1, 11.6.1, 12.3.1, 12.3.3, 12.3.4, 12.5.2.1, 12.5.3, 12.6.2, 12.6.3.2, 12.10.4.1, 12.10.7Not Applicable. This is a future-dated requirement and is not required to be tested until the future date has passed. 3.5.1.1 Not Applicable. Transact does not use hashing methods to protect full PAN. 3.5.1.2 Not Applicable. Transact did not implement disk or partition-level encryption to protect stored cardholder data. 3.5.1.3 Not Applicable. Transact did not implement full disk encryption to protect stored cardholder data. 3.6.1.3, 3.7.6 Not Applicable. Transact does not perform manual clear-text cryptographic key-management operations. 3.7.9 Not Applicable. Transact does not share cryptographic keys. 4.2.1.2 Not Applicable. The TS SaaS CDE resided solely within a cloud-hosted infrastructure provided by Microsoft Azure, a Level 1 PCI DSS-validated service provider, who prohibited wireless connections and implemented controls to satisfy this requirement within their own PCI assessment. Transact reviews the Attestation of Compliance for Microsoft Azure (currently dated March 7, 2024 against PCI DSS v4.0) on an annual basis to ensure that compliance is maintained for this requirement. 4.2.2 Not Applicable. Transact did not utilize end-user messaging technologies to send cardholder data via end-user messaging technologies. 6.5.2, 11.3.1.3, 11.3.2.1 Not Applicable. No significant changes occurred within the past 12 months. However, processes are in place to

	implement applicable PCI DSS requirements and update documentation as a result of any significant changes to the CDE. 8.2.3 Not Applicable. Transact did not require or maintain remote access to customer sites or systems. 8.2.7 Not Applicable. Transact did not have vendors who accessed system components within the CDE. 8.3.10, 8.3.10.1 Not Applicable. 360 Advanced interviewed responsible personnel to verify that even though Transact is a service provider non-consumer user accounts do not have access to cardholder data. 9.5.1, 9.5.1.1, 9.5.1.2, 9.5.1.2.1, 9.5.1.3, A2.1.1, A2.1.2, A2.1.3 Not Applicable. Transact does not support or manage devices that capture payment card data via direct physical interaction. 12.3.2 Not Applicable. Transact did not utilize a Customized Approach to meet any requirements. A1.1.1, A1.1.2, A1.1.3, A1.1.4, A1.2.1, A1.2.2, A1.2.3 Not Applicable. Transact offers no services to PCI customers that would classify them as a multi-tenant service provider.
For any Not Tested responses, identify which sub-requirements were not tested and the reason.	Not Applicable.

Section 2 Report on Compliance

(ROC Sections 1.2 and 1.3)

Date Assessment began: ***Note:*** *This is the first date that evidence was gathered, or observations were made.*	October 1, 2024
Date Assessment ended: ***Note:*** *This is the last date that evidence was gathered, or observations were made.*	November 15, 2024
Were any requirements in the ROC unable to be met due to a legal constraint?	☐ Yes ☒ No
Were any testing activities performed remotely?	☒ Yes ☐ No

Section 3 Validation and Attestation Details

Part 3. PCI DSS Validation (ROC Section 1.7)

This AOC is based on results noted in the ROC dated *(Date of Report as noted in the ROC November 30, 2024).*

Indicate below whether a full or partial PCI DSS assessment was completed:

☒ **Full Assessment** – All requirements have been assessed and therefore no requirements were marked as Not Tested in the ROC.

☐ **Partial Assessment** – One or more requirements have not been assessed and were therefore marked as Not Tested in the ROC. Any requirement not assessed is noted as Not Tested in Part 2g above.

Based on the results documented in the ROC noted above, each signatory identified in any of Parts 3b-3d, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document *(select one):*

☒	**Compliant:** All sections of the PCI DSS ROC are complete, and all assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall **COMPLIANT** rating; thereby *Transact Campus Payments, Inc.* has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above.
☐	**Non-Compliant:** Not all sections of the PCI DSS ROC are complete, or one or more requirements are marked as Not in Place, resulting in an overall **NON-COMPLIANT** rating; thereby *(Service Provider Company Name)* has not demonstrated compliance with PCI DSS requirements. **Target Date** for Compliance: *YYYY-MM-DD* An entity submitting this form with a Non-Compliant status may be required to complete the Action Plan in Part 4 of this document. Confirm with the entity to which this AOC will be submitted before completing Part 4.
☐	**Compliant but with Legal exception:** One or more assessed requirements in the ROC are marked as Not in Place due to a legal restriction that prevents the requirement from being met and all other assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall **COMPLIANT BUT WITH LEGAL EXCEPTION** rating; thereby *(Service Provider Company Name)* has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above or as Not in Place due to a legal restriction. This option requires additional review from the entity to which this AOC will be submitted. *If selected, complete the following:*

Affected Requirement	Details of how legal constraint prevents requirement from being met

Part 3. PCI DSS Validation *(continued)*

Part 3a. Service Provider Acknowledgement

Signatory(s) confirms:
(Select all that apply)

☒	The ROC was completed according to *PCI DSS*, Version 4.0.1 and was completed according to the instructions therein.
☒	All information within the above-referenced ROC and in this attestation fairly represents the results of the Assessment in all material respects.
☒	PCI DSS controls will be maintained at all times, as applicable to the entity's environment.

Part 3b. Service Provider Attestation

David Shaw

Signature of Service Provider Executive Officer ↑	Date: November 30, 2024
Service Provider Executive Officer Name: David Shaw	Title: Chief Information Security Officer

Part 3c. Qualified Security Assessor (QSA) Acknowledgement

If a QSA was involved or assisted with this Assessment, indicate the role performed:	☒ QSA performed testing procedures.
	☐ QSA provided other assistance. If selected, describe all role(s) performed:

Phillip Hagan

Signature of Lead QSA ↑	Date: November 30, 2024
Lead QSA Name: Phillip Hagan	

Ryan Winkler

Signature of Duly Authorized Officer of QSA Company ↑	Date: November 30, 2024
Duly Authorized Officer Name: Ryan Winkler	QSA Company: 360 Advanced Inc.

Part 3d. PCI SSC Internal Security Assessor (ISA) Involvement

If an ISA(s) was involved or assisted with this Assessment, indicate the role performed:	☐ ISA(s) performed testing procedures.
	☐ ISA(s) provided other assistance. If selected, describe all role(s) performed:

Part 4. Action Plan for Non-Compliant Requirements

Only complete Part 4 upon request of the entity to which this AOC will be submitted, and only if the Assessment has Non-Compliant results noted in Section 3.

If asked to complete this section, select the appropriate response for "Compliant to PCI DSS Requirements" for each requirement below. For any "No" responses, include the date the entity expects to be compliant with the requirement and provide a brief description of the actions being taken to meet the requirement.

PCI DSS Requirement	Description of Requirement	Compliant to PCI DSS Requirements (Select One)		Remediation Date and Actions (If "NO" selected for any Requirement)
		YES	NO	
1	Install and maintain network security controls	☐	☐	
2	Apply secure configurations to all system components	☐	☐	
3	Protect stored account data	☐	☐	
4	Protect cardholder data with strong cryptography during transmission over open, public networks	☐	☐	
5	Protect all systems and networks from malicious software	☐	☐	
6	Develop and maintain secure systems and software	☐	☐	
7	Restrict access to system components and cardholder data by business need to know	☐	☐	
8	Identify users and authenticate access to system components	☐	☐	
9	Restrict physical access to cardholder data	☐	☐	
10	Log and monitor all access to system components and cardholder data	☐	☐	
11	Test security systems and networks regularly	☐	☐	
12	Support information security with organizational policies and programs	☐	☐	
Appendix A1	Additional PCI DSS Requirements for Multi-Tenant Service Providers	☐	☐	
Appendix A2	Additional PCI DSS Requirements for Entities using SSL/early TLS for Card-Present POS POI Terminal Connections	☐	☐	

Note: The PCI Security Standards Council is a global standards body that provides resources for payment security professionals developed collaboratively with our stakeholder community. Our materials are accepted in numerous compliance programs worldwide. Please check with your individual compliance accepting organization to ensure that this form is acceptable in their program. For more information about PCI SSC and our stakeholder community please visit: https://www.pcisecuritystandards.org/about_us/

Signature Certificate

Reference number: FY8PS-2REHE-9U4B3-YCD8M

Signer	Timestamp	Signature
Ryan Winkler Email: rwinkler@360advanced.com		Ryan Winkler
Sent:	27 Nov 2024 20:49:02 UTC	
Viewed:	27 Nov 2024 20:49:10 UTC	
Signed:	27 Nov 2024 20:49:18 UTC	
Recipient Verification:		IP address: 47.203.164.59
✔Email verified	27 Nov 2024 20:49:10 UTC	Location: Tampa, United States
Phillip Hagan Email: phagan@360advanced.com		Phillip Hagan
Sent:	27 Nov 2024 20:49:02 UTC	
Viewed:	27 Nov 2024 20:50:09 UTC	
Signed:	27 Nov 2024 20:51:14 UTC	
Recipient Verification:		IP address: 35.140.105.188
✔Email verified	27 Nov 2024 20:50:09 UTC	Location: Clearwater, United States
David Shaw Email: david.shaw@transactcampus.com Shared via link		David Shaw
Sent:	27 Nov 2024 20:49:02 UTC	IP address: 47.6.104.58
Viewed:	27 Nov 2024 21:46:18 UTC	Location: Calabasas, United States
Signed:	27 Nov 2024 21:46:44 UTC	

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27 Nov 2024 21:46:44 UTC