3344-28-01 Introduction.

(A) General policy

Cleveland state university endorses the principle that its faculty and staff are entitled to full freedom in research and to full freedom in the publication of the results of those research endeavors. Corresponding with this principle of full freedom in research is the obligation to maintain the highest standards of professional ethics. Membership in the academic community imposes on faculty, staff, and students the commitment to foster and to defend intellectual honesty in research and scholarship. The primary responsibility of the faculty is to their subject and to seeking and stating the truth. University faculty and staff pledge quality and integrity in their research and publications primarily through self-regulation, through adherence to individual ethical principles, through dependence on accepted disciplinary professional standards, and by reference to the traditions and standards of collegiality characteristic of all institutions of higher learning. This document articulates university policy on academic integrity in research and publication and prescribes procedures for impartial fact-finding and fair adjudication of allegations of academic research misconduct.

(B) Scope

This policy and the associated procedures apply to all individuals engaged in academic research at Cleveland state university, including faculty members, professional staff, scientists, trainees, technicians and other staff members, students, fellows, volunteers, guest researchers, or collaborators. The policy and the associated procedures are derived from the Office of Research Integrity's "model policy for responding to allegations of academic research misconduct" and as such are particularly appropriate for scientific research supported by, or for which support is requested from, the Public Health Service "PHS," the National Science Foundation "NSF", or any other federal agency. The Office of Research Integrity, "ORI," is an independent entity within the U. S. Department of Health and Human Services. ORI is responsible for protecting the integrity of PHS extramural and intramural research programs. PHS regulations, at 42 CFR Part 93 apply to any research, research-training or research-related grant, or cooperative agreement with the PHS.

(C) This statement of policy and procedures does not apply to authorship or collaboration disputes. In the case of PHS supported research, the policy applies only to allegations of research misconduct that occurred within six years of the date the institution or HHS received the allegation, subject to the subsequent use, health or safety of the public, and grandfather

exceptions in 42 CFR § 93.105(b).

(D) These procedures shall normally be followed when an allegation of possible misconduct in academic research is received by a university official. Particular circumstances in an individual case may dictate variation from normal procedure deemed in the best interests of Cleveland state university and the PHS or other federal agency. Such variations from normal procedure should be constructed to ensure fair treatment to the subject of the inquiry or investigation. Any significant variation from normal procedure should be approved in advance by the chief academic officer of Cleveland state university.

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